

RARE-EARTH CONCENTRATES, OXIDES, METALS, AND MAGNETS (FOR NON-
CONSTRUCTION USES)
PRODUCT CATEGORY CLASSIFICATION: UN CPC 34290 AND 46931 (SUBSET)

PCR 2024:01
VERSION 1.0.1

VALID UNTIL 2028-01-25



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1 INTRODUCTION

This document constitutes Product Category Rules (PCR) developed in the framework of the International EPD System: a programme for type III environmental declarations¹ according to ISO 14025:2006, ISO 14040:2006, ISO 14044:2006, and product-specific standards such as EN 15804 and ISO 21930 for construction products. Environmental Product Declarations (EPD) are voluntary documents for a company or organisation to present transparent, consistent and verifiable information about the environmental performance of their products (goods or services).

The rules for the overall administration and operation of the programme are the General Programme Instructions (GPI), publicly available at www.environdec.com. A PCR complements the GPI and the normative standards by providing specific rules, requirements and guidelines for developing an EPD for one or more specific product categories (see Figure 1). A PCR should enable different practitioners using the PCR to generate consistent results when assessing products of the same product category.

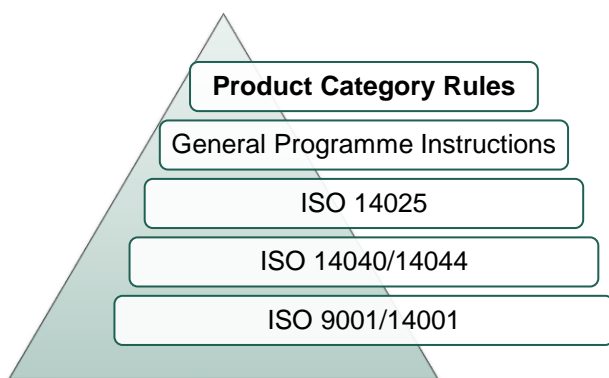


Figure 1. The hierarchy between PCRs, standards and other documents.

Within the present PCR, the following terminology is adopted:

- The term “shall” is used to indicate what is obligatory, i.e. a requirement.
- The term “should” is used to indicate a recommendation, rather than a requirement. Any deviation from a “should” requirement shall be justified in the PCR development process.
- The terms “may” or “can” is used to indicate an option that is permissible.

For definitions of further terms used in the document, see the normative standards.

A PCR is valid for a pre-determined period of time to ensure that it is updated at regular intervals. The latest version of the PCR is available at www.environdec.com. Stakeholder feedback on PCRs is very much encouraged. Any comments on this PCR may be sent directly to the PCR Moderator and/or the Secretariat during its development or during its period of validity.

Any references to this document shall include the PCR registration number, name and version.

The programme operator maintains the copyright of the document to ensure that it is possible to publish, update, and make it available to all organisations to develop and register EPDs. Stakeholders participating in PCR development should be acknowledged in the final document and on the website.

¹ Type III environmental declarations in the International EPD® System are referred to as EPDs, Environmental Product Declarations.

2 GENERAL INFORMATION

2.1 ADMINISTRATIVE INFORMATION

| | |
|--|---|
| Name: | Rare-earth concentrates, oxides, metals and magnets (for non-construction uses) |
| Registration number and version: | PCR 2024:01, version 1.0.1 |
| Programme: |  The International EPD System |
| Programme operator: | EPD International AB, Box 210 60, SE-100 31 Stockholm, Sweden. Website: www.environdec.com E-mail: support@environdec.com |
| PCR Moderator: | Robert Pell, Minviro Ltd, robert@minviro.com |
| PCR Committee: | Badrinath Veluri, Grundfos Robert Pell, Minviro Jordan Lindsay, Minviro Nabeel Mancheri, Rare Earth Industry Association (REIA) Neda Bahremandi, Rare Earth Industry Association (REIA) Andrea Pratesi, B&C Speakers Janice Zinck, Government of Nova Scotia Gareth Hatch, Strategic Materials Advisory Rob Koppelmans, JL Mag Europe Tina Zuzek, Jožef Stefan Institute (JSI) Peng Wang, Institute of Urban Environment (IUE), Chinese Academy of Sciences Weiqiang Chen, Institute of Urban Environment (IUE), Chinese Academy of Sciences Frederic Carencotte, Carester Gwendolyn Bailey, Umicore Kotaro Shimizu, Mitsubishi-UfJ Edward Loye, E-Tech Resources Danny McNeice, Pensana Zienab Elghoul, Minviro |
| Date of publication and last revision: | 2024-09-06 (version 1.0.1) See Section 8 for a version history of the PCR. |
| Valid until: | 2028-01-25 |
| Schedule for renewal: | <p>A PCR is valid for a pre-determined time period to ensure that it is updated at regular intervals. When the PCR is about to expire, the PCR Moderator shall initiate a discussion with the Secretariat how to proceed with updating the PCR and renewing its validity.</p> <p>A PCR may also be updated without prolonging its period of validity, provided significant and well-justified proposals for changes or amendments are presented.</p> <p>See www.environdec.com for the latest version of the PCR.</p> <p>When there has been an update of the PCR, the new version should be used to develop EPDs. The old version may however be used for 90 days after the publication date of the new version, as long as the old version has not expired.</p> |
| Standards and documents conformance: | General Programme Instructions of the International EPD System, version 4.0, based on ISO 14025 and ISO 14040/14044 |

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| | |
|------------------|---|
| PCR language(s): | At the time of publication, this PCR was available in English. If the PCR is available in several languages, these are available at www.environdec.com . In case of translated versions, the English version takes precedence in case of any discrepancies. |
|------------------|---|

2.2 SCOPE OF PCR

2.2.1 PRODUCT CATEGORY DEFINITION AND DESCRIPTION

This document provides Product Category Rules (PCR) for the assessment of the environmental performance of rare earth concentrates, rare-earth oxides (REOs), rare-earth elements (REEs) in metallic form, and magnets, and the declaration of this performance by an EPD. The product category corresponds to UN CPC subclass 34290 and a subset of UN CPC subclass 46931 (see Table 1), but there are products within the scope of the PCR not covered by these UN CPC subclasses.

REE products within the above designation include REE-bearing ores (with ore type and mineralogy specified), REE mineral concentrates, mixed REE concentrates, mixed REOs or mixed REE metal alloys of a reported composition, separated REOs and REE metals, and finally downstream REE magnet products. The products covered by the PCR are commonly made of lanthanides, but products including other rare earth elements are also within the scope of the PCR.

Another PCR (PCR 2021:03 Basic chemicals) also covers UN CPC 34290, alongside other metals and inorganic chemicals. The present PCR aims to improve the allocation methods used to correctly partition impacts across the rare earth chain, something lacking in existing frameworks and notable in the academic literature as inconsistent. The extractive, processing and refining requirements of individual metal families like rare earth metals are not substantially defined in PCR 2021:03, with no considerations separate from organic or miscellaneous chemicals, thus necessitating the creation of the present PCR. Upon publication of the present PCR, the scope of PCR 2021:03 will be updated to exclude UN CPC 34290 (with a transition period under which either of the two PCRs may be used).

The PCR does not cover products used in the construction sector. These are instead covered by PCR 2019:14 Construction products.

Table 1. The product group and the corresponding UN CPC codes for rare-earth oxides and metals, and rare-earth permanent magnets. The listed UN CPC codes does not fully describe the scope of the PCR.

| United Nations Central Product Classification | Rare-earth oxides and metals | | Rare-earth permanent magnets | |
|---|------------------------------|---|--|--|
| | Section | 3- | Other transportable goods except metals, products, machinery and equipment | 4- |
| Division | 34- | Basic Chemicals | 46- | Electrical machinery and apparatus |
| Group | 342- | Basic inorganic Chemical n.e.c. | 469- | Other electrical equipment and parts thereof |
| Class | 3429- | Compounds of rare-earth metals, of yttrium or of scandium | 4693- | Electrical equipment n.e.c. (including electromagnets; permanent magnets; electro-magnetic couplings; clutches and brakes; electro-magnetic lifting heads; electrical particle accelerators; electrical signal generators; and apparatus for electro-plating, electrolysis or electrophoresis) |
| Subclass | 34290 | Compounds of rare-earth metals, of yttrium or of scandium | 46931 | Permanent metallic magnets |

2.2.2 GEOGRAPHICAL SCOPE

This PCR may be used globally.

2.2.3 EPD VALIDITY

An EPD based on this PCR shall be valid for a 5-year period starting from the date of the verification report ("approval date"), or until the EPD has been de-registered from the International EPD System. New EPDs shall be based on the latest version of the PCR and refer to the version number and date of the PCR used.

An EPD shall be updated and re-verified during its validity if changes in technology or other circumstances have led to:

- an increase of 10% or more of any of the declared indicators of environmental impact;
- errors in the declared information; or
- significant changes to the declared product information, content declaration, or additional environmental, social or economic information.

If such changes have occurred, but the EPD is not updated, the EPD owner shall contact the Secretariat to de-register the EPD.

The publication of new PCR versions does not affect the validity period of EPDs that are already published.

3 PCR REVIEW AND BACKGROUND INFORMATION

This PCR was developed in accordance with the PCR development process described in the GPI of the International EPD System, including open consultation and review.

3.1 OPEN CONSULTATION

3.1.1 VERSION 1.0.0

This PCR was available for open consultation from 2023-03-13 until 2023-05-15, during which any stakeholder was able to provide comments by contacting the PCR Moderator and/or the Secretariat. Stakeholders were invited via e-mail or other means to take part in the open consultation and were encouraged to forward the invitation to other relevant stakeholders.

3.2 PCR REVIEW

3.2.1 VERSION 1.0.0

| | |
|--------------------------|---|
| PCR review panel: | The Technical Committee of the International EPD System. A full list of members is available at www.environdec.com . The review panel may be contacted via support@environdec.com . Members of the Technical Committee were requested to state any potential conflict of interest with the PCR Committee, and if there were conflicts of interest they were excused from the review. |
| Chair of the PCR review: | Claudia A. Peña |
| Review dates: | 2023-07-31 until 2023-12-20 |

3.3 EXISTING PCRS FOR THE PRODUCT CATEGORY

As part of the development of this PCR, existing PCRs and other internationally standardised methods that could potentially act as PCRs were considered to avoid unnecessary overlaps in scope and to ensure harmonisation with established methods of relevance for the product category. The existence of such documents was checked among the following EPD programmes and international standardisation bodies:

- International EPD System. www.environdec.com.
- GlobalEPD
- EPD Norway
- PEP Ecopassport
- Keiti Environmental
- JEMAI EcoLeaf
- UL Environment
- ASTM International EPD
- NSF International National Centre for Sustainability Standards
- SM Transparency Report Program
- FIP Innovations EPD Program
- ICC Evaluation Service
- Environmental Product Decalartion Program

- Carbon Leadership Forum PCRs
- DAPcons
- SCS Global Services

Table 2 lists the identified PCRs and other standardized methods.

Table 2. Existing PCRs and other internationally standardized methods that were considered to avoid overlap in scope and to ensure harmonisation with established methods.

| NAME OF PCR/STANDARD | PROGRAMME/STANDARDISATION BODY | REGISTRATION NUMBER, VERSION NUMBER/DATE OF PUBLICATION | SCOPE |
|----------------------|--------------------------------|---|------------------|
| Basic chemicals | The International EPD System | PCR 2021:03 | e.g. UN CPC 3429 |

3.4 REASONING FOR DEVELOPMENT OF PCR

This PCR was developed to enable publication of EPDs for this product category based on ISO 14025, ISO 14040/14044 and other relevant standards to be used in different applications and target audiences. The PCR enables different practitioners to generate consistent results when assessing the environmental impact of products of the same product category, and thereby it supports comparability of products within a product category.

3.5 UNDERLYING STUDIES USED FOR PCR DEVELOPMENT

The methodological choices made during the development of this PCR (declared/functional unit, system boundary, allocation methods, impact categories, data quality rules, etc.) were primarily based on the following underlying studies:

- Bailey G, Joyce PJ, Schrijvers D, Schulze R (2020) Review and new life cycle assessment for rare earth production from bastnaesite, ion adsorption clays and lateritic monazite- Resources, Conservation and Recycling, 155, p. 104675. Available at: <https://doi.org/10.1016/j.resconrec.2019.104675>.
- Croat JJ, Ormerod J (2022) Modern permanent magnets. Woodhead Publishing.
- Gupta CK, Krishnamurthy N (2004) Extractive metallurgy of rare earths. CRC Press.
- Lucas J, Lucas P, Mercier TL (2014) Rare Earths: science, technology, production and use. Available at: <http://ci.nii.ac.jp/ncid/BB19400719>.
- Schreiber A, Marx J, Zapp P (2021) Life Cycle Assessment studies of rare earths production – Findings from a systematic review. Science of the Total Environment 791, 148257. Available at: <https://doi.org/10.1016/j.scitotenv.2021.148257>.
- Schrijvers D, Loubet P, Sonnemann G (2016) Developing a systematic framework for consistent allocation in LCA. International Journal of Life Cycle Assessment 21(7), 976–993. Available at: <https://doi.org/10.1007/s11367-016-1063-3>.
- Sprecher B, Xiao Y, Walton A, Speight J, Harris R, Kleijn R, Visser G, Kramer GJ (2014) Life cycle inventory of the production of rare earths and the subsequent production of NDFEB rare Earth permanent magnets. Environmental Science & Technology 48(7), 3951–3958. Available at: <https://doi.org/10.1021/es404596q>.
- Pell R, Wall F, Yan X, Li J, Zeng X (2019) Mineral processing simulation based-environmental life cycle assessment for rare earth project development: A case study on the Songwe Hill project. Journal of Environmental Management 249, p. 109353. Available at: <https://doi.org/10.1016/j.jenvman.2019.109353>.
- Pell R, Wall F, Yan X, Li J, Zeng X (2019) Temporally explicit life cycle assessment as an environmental performance decision making tool in rare earth project development. Minerals Engineering 135, 64–73. Available at: <https://doi.org/10.1016/j.mineng.2019.02.043>.
- Qi D (2018) Hydrometallurgy of rare earths: Extraction and Separation. Elsevier.
- Vahidi E, Navarro J, Zhao F (2016) An initial life cycle assessment of rare earth oxides production from ion-adsorption clays. Resources Conservation and Recycling 113, 1–11. Available at: <https://doi.org/10.1016/j.resconrec.2016.05.006>.
- Vahidi E, Zhao F (2017) Environmental life cycle assessment on the separation of rare earth oxides through solvent extraction. Journal of Environmental Management 203, 255–263. Available at: <https://doi.org/10.1016/j.jenvman.2017.07.076>.

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- Wang L, Wang P, Chen W-Q, Wang Q-Q, Lu H-S (2020) Environmental impacts of scandium oxide production from rare earths tailings of Bayan Obo Mine- Journal of Cleaner Production 270, 122464. Available at: <https://doi.org/10.1016/j.jclepro.2020.122464>.

4 GOAL AND SCOPE, LIFE-CYCLE INVENTORY AND LIFE-CYCLE IMPACT ASSESSMENT

The goal of this section is to provide specific rules, requirements and guidelines for developing an EPD for the product category as defined in Section 2.2.1.

4.1 DECLARED UNIT

The declared unit shall be one (1) kg of REO, REE mineral concentrate, mixed REE concentrate, REE metal or REE magnet (powder/block/shaped) product plus its packaging ready for delivery (the mass weight of the packaging shall not be included in the 1 kg declared unit).

The reference flow in the LCA shall be defined at the point where the product arrives at the customer gate, i.e., any losses occurring before then shall be considered.

The mass of the packaging of the studied product shall be reported separately. The environmental impacts in Section 4.4.5.1, use of resources in Section 4.4.5.2 and waste production and output flows in Section 4.4.5.3 of the product packaging, shall be reported separately per life-cycle stages from those of the REE product.

EPDs based on this PCR document shall use a declared unit instead of a functional unit. This is because it is not possible to capture all relevant functional aspects in one or a few predefined functional units.

More specifically, per product category covered by the PCR, the declared unit shall be:

- 1 kg of REE-bearing ore (after extraction);
- 1 kg of REE mineral concentrate (after mineral processing);
- 1 kg of mixed REE concentrate (after cracking / dissolution);
- 1 kg of mixed REO (specified) (after separation);
- 1 kg of REO (specified) (e.g., 1 kg of yttrium oxide) (after separation);
- 1 kg of REE metal (specified) (e.g., 1 kg of neodymium metal) (after separation);
- 1 kg of REE metal alloy (specified) (e.g., 1 kg of neodymium-praseodymium);
- 1 kg of REE magnet powder (specified) (e.g., 1 kg of isotropic Sm-Fe-N magnet powder); and
- 1 kg REE permanent-magnet product (specified) (e.g., 1 kg of sintered Sm-Co magnet).

All relevant functional aspects shall, however, be taken into consideration when comparing EPDs based on this PCR.

4.2 TECHNICAL SPECIFICATION

Technical specifications that should be declared in the EPD, for each product category covered by the PCR, are shown in Table 3.

Table 3. Technical specifications of each category of REE product category covered by this PCR.

| Rare-Earth Chain stage | Rare-Earth Product | Specifications of Product | Example of Product | Example of Market Use of Product |
|------------------------|-------------------------|---|---|--|
| Mine to oxide | REE-bearing ore | Type of ore and percent composition of the mineral in ore shall be reported | Ore sand, hardrock ores, ion-adsorption clay deposits | Purchased for mineral processing to produce REE mineral concentrate (intermediate) |
| | REE mineral concentrate | Type of REE-bearing mineral and percent composition of the mineral in concentrate shall be reported | REE mineral concentrate | Purchased for cracking / dissolution to produce mixed REE concentrate (intermediate) |

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| | | | | |
|-----------------------|---|--|-----------------------|--|
| | Mixed REE concentrate | Designated proportion of REEs shall be reported | Mixed REE concentrate | Purchased for separation to produce mixed and individual REE compounds (intermediate) |
| | Mixed REO | Designated proportion of REEs shall be reported | Mixed REO | Catalysts, glass-related industries, polishing, permanent magnets, metallurgy industry, ceramics, battery alloy components, solid-oxide fuel cells |
| | Specified REO | Single REE in oxide form shall be reported | Individual REO | Catalysts, glass-related industries, polishing, permanent magnets, metallurgy industry, ceramics, battery alloy components, solid-oxide fuel cells |
| Oxide to metal/alloy | Specified REE metal | Single REE metal shall be reported | Metal ingots | Magnets, metal alloys, electronics, new materials and some other high-technology fields |
| | REE metal alloy | Designated proportion of metals shall be reported | Metal ingots | Magnets, metal alloys, electronics, new materials and some other high-technology fields |
| REE permanent magnets | Anisotropic Sm-Fe-N magnet powder - Process (1) | Properties of magnet powder (e.g., particle size, coercivity, etc.) shall be reported. | Magnet powder | Purchased for further processing to manufacture magnets |
| | Anisotropic Sm-Fe-N magnet powder - Process (2) | Properties of magnet powder (e.g., particle size, coercivity, etc.) shall be reported. | Magnet powder | Purchased for further processing to manufacture magnets |
| | Anisotropic Sm-Fe-N magnet powder - Process (3) | Properties of magnet powder (e.g., particle size, coercivity, etc.) shall be reported. | Magnet powder | Purchased for further processing to manufacture magnets |
| | Isotropic Sm-Fe-N magnet powder | Properties of Magnet powder (e.g. particle size, coercivity, etc.) shall be reported. | Magnet powder | Purchased for further processing to manufacture magnets |
| | Sintered Nd-Fe-B magnet | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Wind turbines, DC motors, medical devices, computer hard drives, printers and speakers, etc. |
| | Bonded Nd-Fe-B magnet | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Wind turbines, DC motors, medical devices, computer hard drives, printers and speakers, etc. |
| | Sintered Sm-Co magnet | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Aerospace, defence systems, high-performance motors, actuators, generators, turbo machinery, etc. |
| | Compression-moulded bonded Sm-Fe-N magnets | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Hard disk drives, electrical motors, smart phones, audio devices, etc. |
| | Injection-moulded bonded Sm-Fe-N magnets | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Hard disk drives, electrical motors, smart phones, audio devices, and etc. |
| | Extruded/sheet magnets Sm-Fe-N magnets | Magnet specifications shall be reported, including metal mix and function | Permanent magnets | Smart phones, audio devices, and other small electric motors |

4.3 SYSTEM BOUNDARY

The scope of an EPD based on this PCR is cradle-to-gate, cradle-to-gate with end-of-life (EOL) treatment, or cradle-to-gate with product use and EOL treatment. EOL treatment may only be excluded if certain criteria are fulfilled (see below).

The system boundary of the product life cycle determines the processes to be included or excluded in the LCA. General system boundary of the product category is presented in Section 4.4 (Figure 2). The system boundaries of more-specific product categories are presented in Annex 1, 2 and 3.

For the EOL of the product to be excluded, the following criteria shall be fulfilled (the first three criteria are adapted from EN 15804, and the fourth criteria is adapted from ISO 14025):

- The product is physically integrated with other products in subsequent life-cycle process (e.g., during installation in a building) so they cannot be physically separated from them at EOL.
- The product or material is no longer identifiable at EOL as a result of a physical or chemical transformation process.
- The product or material does not contain biogenic carbon.
- The EPD shall not be used for business-to-consumer communication.

4.3.1 LIFE-CYCLE STAGES

For the purpose of different data-quality rules and for the presentation of results, the life cycle of the product is divided into three life-cycle stages:

- upstream processes (from cradle-to-gate);
- core processes (from gate-to-gate); and
- downstream processes (from gate-to-grave) (optional, see Section 4.3).

In the EPD, the environmental performance associated with each of the three life-cycle stages above shall be reported separately and in aggregated form. The processes included in the scope of the PCR and belonging to each life-cycle stage are described in Sections 4.3.1.1–4.3.1.3.

4.3.1.1 Upstream processes

The following unit processes are part of the product system and shall be classified as upstream processes:

- Extraction and processing of raw materials required for the core processes.
- Recycling processes of secondary materials from other product life cycles.
- Production of input components.
- Transport of raw materials and components along the upstream supply chain.
- Production of distribution and consumer packaging.
- Generation of electricity and production of fuels, steam and other energy carriers used in upstream processes.

Upstream processes not listed may also be included. All elementary flows at resource extraction shall be included, except for the flows that fall under the general cut-off rule in Section 4.5.

For modelling of infrastructure and capital goods, see Section 4.3.2.

4.3.1.2 Core processes

The following unit processes are part of the product system and shall be classified as core processes:

- Mining and processing of REE bearing ore, except for the permanent magnets product category (for which these processes shall be included among the upstream processes).
- Transportation of materials and components to the manufacturing of the product under study.
- Manufacturing of the product under study.

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- EOL treatment of manufacturing waste, even if carried out by third parties, including transportation.
- Generation of electricity and production of fuels, steam and other energy carriers used in core processes.

Core processes not listed may also be included. Manufacturing of a minimum of 99% of the total mass of the declared product including packaging shall be included.

The following processes shall not be included:

- Business travel of personnel.
- Travel to and from work by personnel.
- Research and development activities.

For modelling of infrastructure and capital goods, see Section 4.3.2.

4.3.1.3. Downstream processes

The following unit processes are optionally part of the product system and shall be classified as downstream processes:

- Transportation of the product to retailer/consumer.
- Product use, e.g., use of electricity or water, use activities causing direct emissions, maintenance activities.
- EOL treatment of the used product and its packaging, including transportation.
- Generation of electricity and production of fuels, steam and other energy carriers used in downstream processes.

For modelling of infrastructure and capital goods, see Section 4.3.2.

4.3.2 INFRASTRUCTURE AND CAPITAL GOODS

In general, the production and end-of-life processes of infrastructure or capital goods² used in the product system should not be included within the system boundaries. They may be included when infrastructure and capital goods are known to be relevant in terms of their environmental impact, or when a generic LCI dataset includes infrastructure/capital goods, and it is not possible, within reasonable effort, to subtract the data on infrastructure/capital goods from this dataset. If an infrastructure/capital good is produced with the intention to be used one or a few times only (e.g., a manufacturing plant or machinery constructed to produce only one product), this infrastructure/capital good shall be included.

The inclusion or exclusion of infrastructure/capital goods shall be transparently described for upstream, core and downstream processes in the LCA report and in the EPD.

If infrastructure/capital goods are included, the following disclaimer shall be included in the results sections of the LCA report and in the EPD (land use and toxicity indicators shall only be mentioned if declared in the EPD):

The results of the impact categories abiotic depletion of minerals and metals, land use, human toxicity (cancer), human toxicity, non-cancer and ecotoxicity (freshwater) may be highly uncertain in LCAs that include capital goods/infrastructure in generic datasets, in case infrastructure/capital goods contribute greatly to the total results. This is because the LCI data of infrastructure/capital goods used to quantify these indicators in currently available generic datasets sometimes lack temporal, technological and geographical representativeness. Caution should be exercised when using the results of these indicators for decision-making purposes.

² Examples of infrastructure and capital goods are the building in which the studied product or upstream materials or components are produced, machinery used in the manufacturing of the product or its materials or components, or vehicles used in transports in the product system. For example, if the EPD is on wind power, the power plant itself is considered the studied product and not infrastructure/capital goods. However, the buildings and machinery that make the wind turbine components are considered infrastructure/capital goods. Similarly, if the EPD is on a means of transport, the vehicle is considered the studied product and not infrastructure/capital goods.

4.3.3 OTHER BOUNDARY SETTING

4.3.3.1. Boundary towards nature

Boundaries towards nature are defined as where the flows of material and energy resources leave nature and enter the technical system (i.e., the product system). Emissions cross the system boundary to nature when they are emitted to air, soil or water. Specific considerations should be made for:

- chemical flows into the environment – water, land and air. This includes acids and alkalis released as liquid streams, metals, salts and some counter ions; particular attention should be paid to materials containing radioactive elements as are common in REE extractive environments (e.g., uranium, thorium, and radium);
- industrial water streams related to peripheral and auxiliary systems such as cooling water, cleaning and equipment maintenance should be acknowledged in the system boundary;
- emissions to air from machinery and equipment used for processing REE material streams; and
- emissions to land from extractive processes.

4.3.3.2. Boundary towards other technical systems

Boundaries towards other technical systems define the flow of materials and components to/from the product system under study and from/to other product systems. If there is an inflow of recycled material to the product system in the production/manufacturing stage, the transport from the scrapyard/collection site to the recycling plant, the recycling process, and the transportation from the recycling plant to the site where the material is being used shall be included. If there is an outflow of material or component to recycling, the transportation of the material to the scrapyard/collection site shall be included. The material or component going to recycling is then an outflow from the product system.

See Section 4.6 for further guidance.

4.3.3.3. Temporal boundary

The temporal boundary defines the time period for which the LCI data is recorded, e.g., for how long emissions from waste deposits are accounted. As default, the time period over which inputs to and outputs from the product system is accounted for shall be 100 years from the year that the LCA model best represents, considering the representativeness of the inventory data. This year shall, as far as possible, represent the year of the publication of the EPD.

4.3.3.4. Geographical boundary

The geographical boundary defines the geographical coverage of the LCA. This shall reflect the physical reality of the product under study, accounting for the representativeness of technology, input materials and input energy.

4.4 SYSTEM DIAGRAM

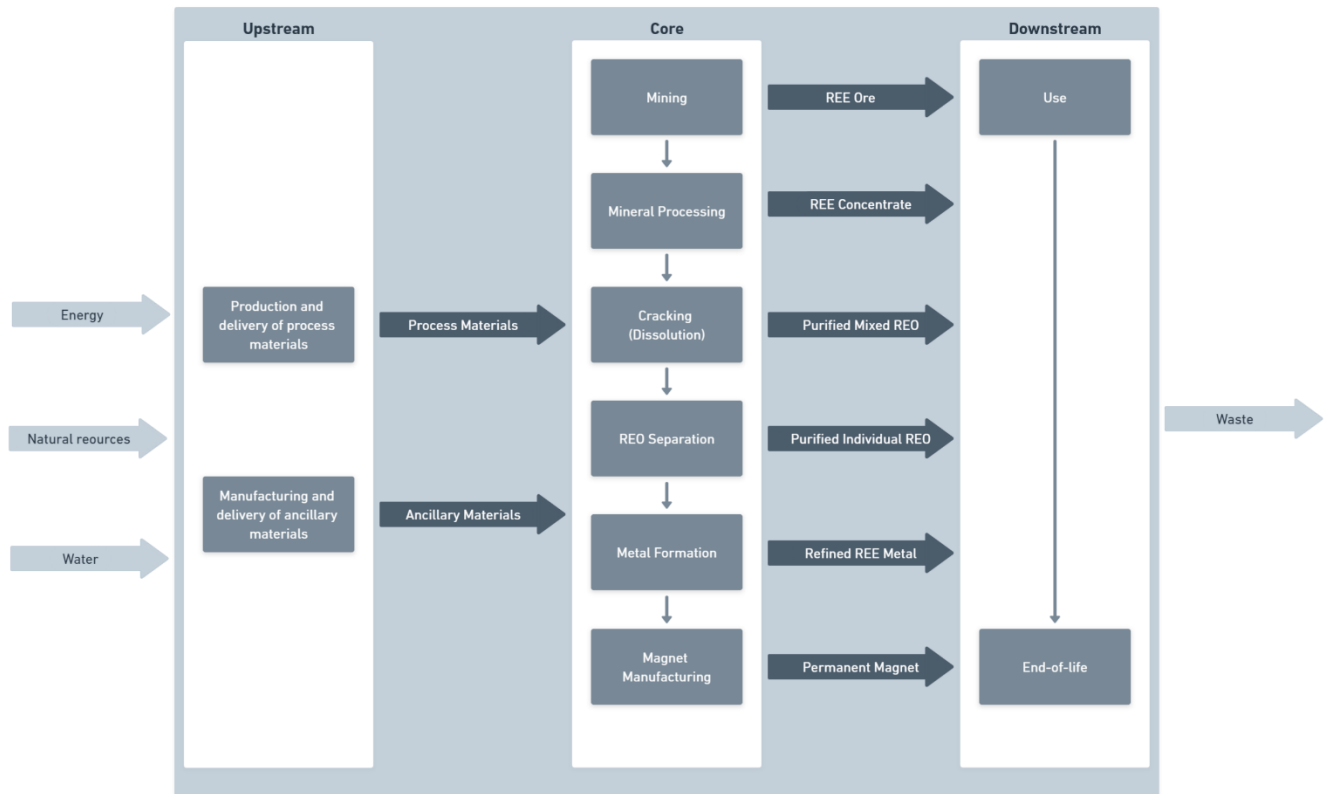


Figure 2. System diagram illustrating the processes that shall be included in the product system, divided into upstream, core and downstream processes. The illustration of processes to include may not be exhaustive, and there are exceptions for specific product categories (as described in the text).

4.5 CUT-OFF RULES

A cut-off rule of 1% shall be applied. In other words, the included inventory data (not including inventory data of processes that are explicitly outside the system boundary as described in Section 4.3) shall together give rise to at least 99% of the results of any of the environmental-impact categories. Also, 99% of the mass of the product content and 99% of the energy use of the product life cycle shall be accounted for. The cut-off of inventory data should, however, be avoided, and all available inventory data shall be used.

The cut-off of inventory data, based on the above cut-off rule, should be an output of a sensitivity analysis, alone or in combination with expert judgment based on experience of similar product systems. Furthermore, the cut-off shall be possible to be verified in the verification process, hence the exclusion of inventory data based on the cut-off rule shall be documented in the LCA report, and the EPD developer shall provide the information the verifier considers necessary to verify the cut-off.

4.6 ALLOCATION RULES

Allocation can be divided into allocation of co-products, i.e. allocation of unit processes that generate several products, and allocation of waste, i.e., allocation of unit processes that generate materials that are, for example, landfilled, recovered, recycled or reused, and which require further processing to cease being waste and become products (see criteria for end-of-waste state in Section 0).

The principles for allocation of co-products and allocation of waste are described separately in the following subsections.

4.6.1 CO-PRODUCT ALLOCATION

The following hierarchy of allocation methods shall be followed for co-product allocation:

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1. Allocation shall be avoided, if possible, by dividing the process to be allocated into sub-processes and collecting the inventory data for each sub-process.
2. If allocation cannot be avoided, the inventory data shall be partitioned between the different co-products in a way that reflects the underlying physical relationships between them, i.e., allocation shall reflect the way in which the inventory data changes if the quantities of delivered co-products change.
3. If a physical relationship between the inventory data and the delivery of co-products cannot be established, the inventory data shall be allocated between the co-products in a way that reflects other relationships between them. For example, inventory data might be allocated between co-products in proportion to their economic values. If economic allocation is used, a sensitivity analysis exploring the influence of the choice of the economic value shall be included in the LCA report.

The following rules shall be applied to all core processes if allocation cannot be avoided:

- In the case of REE-bearing ores or minerals, mass allocation shall be applied provided that the ratio of product prices per kg is equal to or less than five (5), otherwise economic allocation shall be applied (BASF, 2021; WBCSD, 2021). In the case of multiple co-products, this allocation criteria should be applied to each individual co-product. For other REE products, the preferred method is economic allocation based on prices per kilogram of each product. This is because some REE products are significant to certain technologies, which in turn drive the market for REEs. Therefore, using price per kg is a more representative characteristic for their allocation. Refer to Table 4 for the main products and co-products in the REEs value chain and the suggested allocation method for each. Prices to use in the economic allocation should be calculated from process-specific engineering studies or from the process or product data. EPDs should document the reference year and the price range used for each co-product. If the most recent and process-specific prices are not available, a 5 to 10 years average prices, to account for fluctuations, or prices from a reference document shall be used. Prices are available on the Rare Earth Industry Association (REIA) website (<https://global-reia.org/>).
- In case of comparing EPDs based on this PCR the prices and the reference year should be equivalent.

The allocation method used shall be clearly documented in the EPD.

The following method shall be applied for economic allocation, for example, for REOs. Let P_i represent the market prices of the i^{th} REO, and C_{ij} represent the concentration of the i^{th} REO in the derived REO mixture from ore type j . The allocation coefficient for the i^{th} REO from ore type j can be calculated as:

$$x_{ij} = (P_i * C_{ij}) / \sum_i (P_i * C_{ij})$$

$$= (P_i * C_{ij}) / V_j$$

Where V_j is the market value of REO mixture derived from ore type j .

Specific allocation guidance for example REE-product systems is displayed in Table 4, with a visual example in Figure 3.

Table 4. Main product and co-products within the REE value chain and the suggested allocation methods for each based on the reasoning from Section 4.6.1.

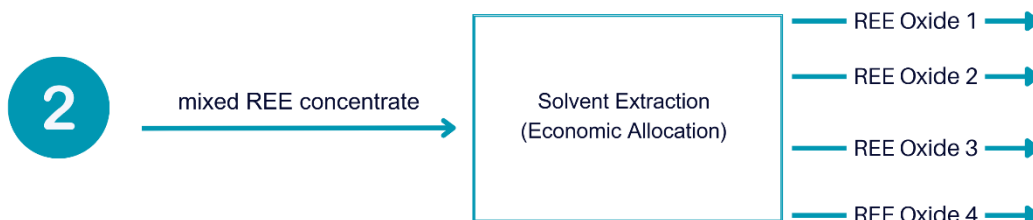
| PROCESS | MAIN PRODUCT AND CO-PRODUCTS | ALLOCATION METHOD |
|----------------------|--|--|
| Mining | REE ore, iron ore, industrial minerals | Different ores (e.g., iron and REE) will use mass-based allocation, unless the price ratio for each co-product is >5 (as explained in the text). |
| Mineral Processing | REE-bearing and non-REE-bearing minerals | Economic allocation |
| Cracking | Mixed REE concentrates | Economic allocation |
| REE Separation | Individual REOs | Economic allocation |
| | Mixed REOs | Unlikely to have secondary product |
| Metal Formation | Individual REE metals | Unlikely to have secondary product |
| Magnet Manufacturing | Magnet products | Unlikely to have secondary product |

If the economic value ratio of the products is **less than 5**, use **Mass-Based Allocation**:



$$\text{REE ALLOCATION FACTOR} = \frac{\text{Mixed REO kg}}{(\text{Mixed REO kg} + \text{Other Product kg})}$$

If the economic value ratio of the products is **more than 5**, use **Economic-Based Allocation**:



$$\text{INDIVIDUAL REE ALLOCATION FACTOR} = \frac{\text{Individual REE kg} * \text{Individual REE \$}}{(\text{REE 1 kg} * \text{REE 1 \$} + \text{REE 2 kg} * \text{REE 2 \$} + \text{REE n kg} * \text{REE n \$})}$$

Figure 3 Allocation method examples for both methods using specific REE examples for key processes in the product system. Note that the example metals used for the economic-value calculation are for example only, and prices/masses shall reflect the exact mix of products in a given product system.

4.6.2 ALLOCATION OF WASTE-TREATMENT PROCESSES

Allocation of waste shall follow the ‘polluter pays’ principle and its interpretation in EN 15804: “processes of waste processing shall be assigned to the product system that generates the waste until the end-of-waste state is reached.” The end-of-waste state is reached when all the following criteria for the end-of-waste state are fulfilled (adapted from EN 15804):

- The recovered material, component or product is commonly used for specific purposes.
- A market or demand, identified e.g., by a positive economic value, exists for such a recovered material, component or product.
- The recovered material, component or product fulfils the technical requirements for the specific purposes and meets the existing legislation and standards applicable to products.
- The use of the recovered material, product or construction element will not lead to overall adverse environmental or human health impacts.

The above outlined principle means that the generator of the waste shall carry the full environmental impact until the point in the product life cycle in which the end-of-waste criteria are fulfilled. Waste may have a negative economic market value, and then the end-of-waste stage is typically reached after (part of) the waste processing and further refinement, at the point at which the waste no longer has a negative market value. This allocation method is (in most cases) in line with a waste generator’s jurisdictional and financial responsibilities. See the GPI for further information and examples.

The treatment, disposal and/or storage of radioactive waste must be described and accounted for within this PCR.

4.7 DATA-QUALITY REQUIREMENTS AND SELECTION OF DATA

LCI data are classified into specific data and generic data, where the latter can be selected generic data or proxy data. The data categories are defined as follows:

- specific data (also referred to as “primary data” or “site-specific data”):
 - data gathered from the actual manufacturing plant where product-specific processes are carried out;
 - actual data from other parts of the life cycle traced to the product under study, for example site-specific data on the production of materials or generation of electricity provided by contracted suppliers, and transportation data on distances, means of transportation, load factor, fuel consumption, etc., of contracted transportation providers; and
 - LCI data from databases on transportation and energy that are combined with actual transportation and energy parameters as listed above.
- generic data (sometimes referred to as “secondary data”), divided into:
 - selected generic data: data (e.g., commercial databases and free databases) that fulfil prescribed data-quality requirements for precision, completeness, and representativeness (see below Section 4.7.1),
 - proxy data: data (e.g., commercial databases and free databases) that do not fulfil all of the data-quality requirements of “selected generic data”.

Specific data shall be used for the core processes. Specific data shall be used for upstream and downstream processes, when available, otherwise generic data may be used. Generic data should be used in cases in which they are representative for the purpose of the EPD, e.g., for bulk and raw materials on a spot market, if there is a lack of specific data on the final product or if a product consists of many components.

Data sourced from proxy/generic values contributing to the different life cycle stages shall be declared in the EPD. If a chosen value is deemed a hotspot with a contribution more than or equal to 10% for each life cycle stage, a sensitivity analysis exploring the effect of the value on the environmental impact should be performed and the value should be declared within the limitations of the EPD, with recommendations to update the EPD once specific data is available..

Any data used shall represent average values for a specific reference year. However, the way these data values are generated could vary e.g., over time. Such variations shall be declared.

4.7.1 RULES FOR USING GENERIC DATA

For generic data to be classified as “selected generic data”, the following requirements apply:

- Datasets shall be based on attributional LCA modelling (i.e., not be based on marginal data and not include credits from system expansion),
- The reference year shall be as current as possible and should be representative for the validity period of the EPD.
- The 1% cut-off rule (as described in Section A.3.3) shall be met on the level of the product system.
- Datasets shall represent average values for a specific reference year; however, how data are generated could vary, e.g., over time, and then they should have the form of a representative annual average value for a specified reference period (such deviations shall be justified and declared in the EPD).
- The representativeness of the data shall be assessed to be better than $\pm 5\%$, in terms of the environmental impact calculated on the basis of the data, of data that is fully representative for the given temporal, technological and geographical context.

If selected generic data that meet the above data-quality requirements are not available, proxy data may be used. The environmental impacts associated with proxy data shall not exceed 10% of the overall environmental impact of the product system.

The EPD may include a data-quality declaration to demonstrate the share of specific data, selected generic data and proxy data contributing to the results of the environmental-impact indicators.

4.7.2 EXAMPLES OF DATABASES FOR GENERIC DATA

Table 5 lists examples of databases and datasets to be used for generic data. Please note that a data-quality assessment shall be performed also for data listed in the table, and that other data that fulfil the data-quality requirements may also be used.

Table 5 Examples of databases and datasets to use for generic data.

| PROCESS | GEOGRAPHICAL SCOPE | DATASET | DATABASE |
|---|----------------------------|--------------------------------------|--|
| Mining/raw materials | Global, or region-specific | Market for explosives | Ecoinvent 3.9.1 database www.ecoinvent.com |
| Electricity used for all processing stages (when specific electricity scenarios are unavailable, see Section 4.7.3) | Global, or region-specific | Electricity, market for high voltage | Ecoinvent 3.9.1 database www.ecoinvent.com |
| Chemicals | Global, or region-specific | Market for sulphuric acid | Ecoinvent 3.9.1 database www.ecoinvent.com |

4.7.3 DATA-QUALITY REQUIREMENTS AND OTHER MODELLING GUIDANCE PER LIFE-CYCLE STAGE

Below are further data-quality requirements per life-cycle stage. Exceptions to the requirements may be accepted, if justified in the EPD; such exceptions are subject to the approval by the verifier on a case-by-case basis.

4.7.3.1. Upstream processes

- Data referring to processes upstream in a supply chain over which the EPD owner has direct management control shall be specific and collected on site.
- Data referring to processes of a supplier of main parts, packaging, or main auxiliaries should be requested from the supplier as specific data, as well as infrastructure, where relevant.
- Data on transport of main parts and components along the supply chain to a distribution point (e.g., a stockroom or warehouse) where the final delivery to the manufacturer can take place, should be specific and based on the actual transportation mode, distance from the supplier, and vehicle load.
- In case specific data are lacking, selected generic data may be used. If these are also lacking, proxy data may be used (see Section 4.7).
- For upstream processes modelled with specific data, generation of electricity used shall be accounted for in this priority:
 1. Specific electricity mix as generated, or purchased from an electricity supplier, demonstrated by a Guarantee of Origin or similar as provided by the electricity supplier.
 2. Residual electricity mix of the electricity supplier on the market.
 3. Residual electricity mix on the market³.
 4. Electricity consumption mix on the market⁴.

The residual electricity mix is the mix when all contract-specific electricity that has been sold to other customers has been subtracted from the total consumption mix. If the composition of the residual grid mix on the market has not been publicly disclosed, it may conservatively be estimated by subtracting renewables from the consumption mix on the market.

“The market” in the above hierarchy shall be defined as being the (residual or consumption) grid mix of the country where the electricity is used, with exceptions for specified countries for which a sub-national electricity grid mix shall be used, i.e., Australia, Brazil, Canada, China, India, and USA.

The mix of electricity used in upstream processes shall be documented in the EPD, where relevant.

³ The composition of the residual grid mixes on the market are available for all EU countries and a few additional European countries through the Association for Issuing Bodies (AIB) at <https://www.aib-net.org/facts/european-residual-mix>.

⁴ For electricity markets without trade of Guarantees of Origin (or similar), the residual mix will, however, be identical to the consumption mix.

Within an entity (e.g., a manufacturing site) covered by one electricity contract, that produces more than one product, contractual instruments are not allowed to be assigned to a subset of the products produced.

- Packaging: specific data shall be used for the production of consumer packaging, if the production is under the direct control of the EPD owner or if the environmental impact of the production is more than 10% of the declared results in any of the environmental-performance indicators. In other cases, generic data may be used. When consumer packaging shows the organisation's logo, the LCA report should report the exerted/non-exerted direct control on the production of consumer packaging by the organisation.

4.7.3.2. Core processes

- Transport from the final delivery point of raw materials, chemicals, main parts, and components (see above regarding upstream processes) to the manufacturing plant/place of service provision should be based on the actual transportation mode, distance from the supplier, and vehicle load, if available.
- Goods: Specific data shall be used for the assembly of the product and for the manufacture of main parts as well as for on-site generation of steam, heat, electricity, etc., where relevant.
- Services: Specific data shall be used for the consumption of materials, chemicals, steam, heat, electricity, etc., necessary for execution of the service
- For electricity used in the core processes, generation of electricity used shall be accounted for in this priority:
 1. Specific electricity mix as generated, or purchased from an electricity supplier, demonstrated by a Guarantee of Origin or similar as provided by the electricity supplier.
 2. Residual electricity mix of the electricity supplier on the market.
 3. Residual electricity mix on the market⁵.
 4. Electricity consumption mix on the market⁶. This option shall not be used for electricity used in processes over which the manufacturer (EPD owner) has direct control.

The residual electricity mix is the mix when all contract-specific electricity that has been sold to other customers has been subtracted from the total consumption mix. If the composition of the residual grid mix on the market has not been publicly disclosed, it may conservatively be estimated by subtracting renewables from the consumption mix on the market.

"The market" in the above hierarchy shall be defined as being the (residual or consumption) grid mix of the country where the electricity is used, with exceptions for specified countries for which a sub-national electricity grid mix shall be used, i.e., Australia, Brazil, Canada, China, India, and USA.

The mix of electricity used in the core processes shall be documented in the EPD, where relevant.

Within an entity (e.g., a manufacturing site) covered by one electricity contract, that produces more than one product, contractual instruments are not allowed to be assigned to a subset of the products produced.

- Waste treatment processes of manufacturing waste should be based on specific data, if available.

4.7.3.3. Downstream processes

- Data for the use stage are usually based on scenarios, but specific data should be used when available and relevant.
- Data on the emissions from the use stage should be based on documented tests, verified studies in conjunction with average or typical product use, or recommendations concerning suitable product use. Whenever applicable, test methods shall be internationally recognised.
- The use of electricity in the region/country where the product is used (as specified in the geographical scope of the EPD) shall be accounted for in the following priority:

⁵ The composition of the residual grid mixes on the market are available for all EU countries and a few additional European countries through the Association for Issuing Bodies (AIB) at <https://www.aib-net.org/facts/european-residual-mix>.

⁶ For electricity markets without trade of Guarantees of Origin (or similar), the residual mix will, however, be identical to the consumption mix.

1. Electricity consumption mix on the market⁷.

“The market” in the above hierarchy shall be defined as being the (residual or consumption) grid mix of the country where the electricity is used, with exceptions for specified countries for which a sub-national electricity grid mix shall be used, i.e., Australia, Brazil, Canada, China, India, and USA.

The mix of electricity used in the downstream processes shall be documented in the EPD, where relevant.

- The transport of the product to the customer shall be described in the EPD, where relevant, and be accounted for in this priority:
 1. Actual transportation modes and distances to a specific customer or market, representing the geographical scope of the EPD.
 2. A weighted average of transportation modes and distances, based on transportation to several customers or markets, representing the geographical scope of the EPD.
- Scenarios for the EOL stage shall be technically and economically feasible. These scenarios should be based on practices used in an industrial setting, for example the recycling of magnets scrap using hydrometallurgical methods. The EOL scenarios should comply with current regulations in the relevant geographical region based on the geographical scope of the EPD. The EOL scenarios and their key assumptions shall be documented in the LCA report and in the EPD.

4.7.4 DATA-QUALITY DECLARATION

EPDs may include a declaration of the quality of data used in the LCA calculations. The data-quality declaration shall demonstrate the share of specific data, selected generic data and proxy data used within the EPD.

4.8 ENVIRONMENTAL-PERFORMANCE INDICATORS

The EPD shall declare the default environmental-performance indicators and their methods as described at the website (www.environdec.com/indicators), which includes both inventory indicators and indicators of potential environmental impact. The source and version of the impact-assessment methods and characterisation factors used shall be reported in the EPD. Also, other indicators may be declared, if justified; see Section 5.4.5. An explanation as to why the additional indicators were selected shall be reported within the EPD.

If the default list of environmental-performance indicators and methods at the www.environdec.com/indicators is updated, the previous version of the list is valid in parallel to the new version during a transition period of at least 90 days, as described at the website.

Apart from inventory indicators (such as the required and optional inventory indicators listed at www.environdec.com/indicators), other inventory data may also be declared in the EPD, if relevant and useful for EPD users. Such data shall not be declared in the main body of the EPD, but in an annex.

4.9 INCLUDING MULTIPLE PRODUCTS IN THE SAME EPD

4.9.1 MULTIPLE PRODUCTS FROM THE SAME COMPANY

Several sets of results, reflecting different products, are not allowed to be declared in the same EPD. However, similar products from a single or several manufacturing sites covered by the same PCR and manufactured by the same company with the same major steps in the core processes may be grouped and thereby included in the same EPD. For such an EPD, there are three options:

- For each indicator, declare the average results of the included products. This average shall be weighted according to the production volumes of the included products, if relevant. In this option, the average content shall be declared in the content declaration.

⁷ For electricity markets without trade of Guarantees of Origin (or similar), the residual mix will, however, be identical to the consumption mix.

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- Declare the results of one of the included products – a representative product. The choice of the representative product shall be justified in the EPD, using, where applicable, statistical parameters. For example, the choice may be based on production volumes. In this option, the content of the representative product shall be declared in the content declaration.
- For each indicator, declare the highest result of the included products (i.e., the results of a “worst-case product”, which may be the results of one or several of the included products). In this option, the content declaration shall include the lowest amounts of recycled and biogenic content of the included products and their packaging, respectively, and the information on environmental and hazardous properties of substances shall reflect the highest share and most hazardous such substances contained in any of the included products.

The first two options are only possible if none of the declared environmental-impact-indicator results differ by more than 10% between any of the included products. The third option is possible also if variations are larger than 10%.

The option chosen shall be clearly described in the EPD.

4.9.2 SECTOR EPD

The International EPD System allows for an industry association to develop an EPD in the form of a Sector EPD. A Sector EPD declares the average product of multiple companies in a clearly defined sector in a clearly defined geographical area. Products covered in a sector EPD shall follow the same PCR and the same declared/functional unit shall be applied.

Any communication of the results from a Sector EPD should contain the information that the results are based on averages obtained from the sector as defined in the EPD. The communication shall not claim that the sector EPD results are representative for a certain manufacturer or its product.

The following information shall also be included a Sector EPD:

- A list of the contributing manufacturers that the Sector EPD covers.
- A description of how the selection of the sites/products has been done and how the average has been determined.
- A statement that the document covers average values for an entire or partial product category (specifying the percentage of representativeness) and, hence, the declared product is an average that is not available for purchase on the market.

5 CONTENT AND FORMAT OF EPD

EPDs based on this PCR shall contain the information described in this section. Flexibility is allowed in the formatting and layout provided that the EPD still includes the prescribed information. A generic template for EPDs is available at www.environdec.com.

The EPD content shall:

- be in line with the requirements and guidelines in ISO 14020 (Environmental labels and declarations – General principles);
- be verifiable, accurate, relevant and not misleading; and
- not include rating, judgements or direct comparison with other products⁸.

An EPD should be made with a reasonable number of pages for the intended audience and use.

The content of EPDs published in machine-readable format shall correspond with the content of the underlying EPD.

5.1 EPD LANGUAGES

EPDs should be published in English but may also be published in additional languages. If the EPD is not available in English, it shall contain an executive summary in English including the main content of the EPD. This summary is part of the EPD and, thus, also subject to the verification process.

5.2 UNITS AND QUANTITIES

The following requirements apply for units and quantities:

- The International System of Units (SI units) shall be used where available, e.g., kilograms (kg), joules (J) and metres (m). Reasonable multiples of SI units may be decided in the PCR to improve readability, e.g., grams (g) or megajoules (MJ). The following exceptions apply:
 - Resources used for energy input (primary energy) should be expressed as kilowatt-hours (kWh) or megajoules (MJ), including renewable energy sources, e.g., hydropower, wind power and geothermal power.
 - Water use should be expressed in cubic metres (m³).
 - Temperature should be expressed in degrees Celsius (°C).
 - Time should be expressed in the units most practical, e.g., seconds, minutes, hours, days or years.
 - Results of the environmental-performance indicators shall be expressed in the units prescribed by the impact assessment methods, e.g., kg CO₂ equivalents.
- Three significant figures⁹ should be adopted for all results. The number of significant digits shall be appropriate and consistent.
- Scientific notation may be used, e.g., 1.2E+2 for 120, or 1.2E-2 for 0.012.
- The thousand separator and decimal mark in the EPD shall follow one of the following styles (a number with six significant figures shown for illustration):
 - SI style (French version): 1 234,56
 - SI style (English version): 1 234.56

In case of potential confusion or intended use of the EPD in markets where different symbols are used, the EPD shall state what symbols are used for thousand separator and decimal mark.

- Dates and times presented in the EPD should follow the format in ISO 8601. For years, the prescribed format is YYYY-MM-DD, e.g., 2017-03-26 for March 26th, 2017.

⁸ Therefore, results of normalisation are not allowed to be reported in the EPD.

⁹ Significant figures are those digits that carry meaning contributing to its precision. For example, with two significant digits, the result of 123.45 shall be displayed as 120, and 0.12345 shall be displayed as 0.12. In scientific notation, these two examples would be displayed as 1.2*10² and 1.2*10⁻².

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- The result tables shall:
 - only contain values or the letters “ND” (Not Declared). It is not possible to specify ND for mandatory indicators. ND shall only be used for voluntary parameters that are not quantified because no data is available;¹⁰
 - contain no blank cells, hyphens, less than or greater than signs or letters (except “ND”);
 - use the value “0” only for parameters that have been calculated to be zero; and
 - footnotes shall be used to explain any limitation to the result value.

5.3 USE OF IMAGES IN EPD

Images used in the EPD, especially pictures featured on the cover page, may in themselves be interpreted as an environmental claim. Images such as trees, mountains, wildlife that are not related to the declared product shall therefore be used with caution and in compliance with national legislation and best available practices in the markets in which the EPD is intended to be used.

5.4 EPD REPORTING FORMAT

The reporting format of the EPD shall include the following sections:

- Cover page (see Section 5.4.1)
- Programme information (see Section 5.4.2)
- Product information (see Section 5.4.3)
- Content declaration (see Section □)
- Environmental performance (see Section 5.4.5)
- References (see Section 5.4.9)

The following sections may be included:

- Additional environmental information (see Section 5.4.6)
- Additional social and economic information (see Section 5.4.7)

The following sections shall be included, if relevant:

- Differences versus previous versions (see Section 5.4.8)
- Executive summary in English (see Section 5.4.10)

5.4.1 COVER PAGE

The cover page shall include:

- Product name and image
- Name and logotype of EPD owner
- The text “Environmental Product Declaration” and/or “EPD”
- Programme: The International EPD System, www.environdec.com
- Programme operator: EPD International AB
- Logotype of the International EPD System
- EPD registration number as issued by the programme operator¹¹

¹⁰ This requirement does not intend to give guidance on what indicators are mandated (“shall”) or voluntary.

¹¹ The EPD shall not include a “registration number” if such is provided by the certification body, as this may be confused with the registration number issued by the programme operator.

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- Date of publication (issue): 20XX-YY-ZZ
- Date of revision: 20XX-YY-ZZ, when applicable
- Date of validity; 20XX-YY-ZZ
- A note that “An EPD should provide current information and may be updated if conditions change. The stated validity is therefore subject to the continued registration and publication at www.environdec.com.”
- A statement of conformity with ISO 14025.
- For EPDs covering multiple products: a statement that the EPD covers multiple products and a list of all products covered by the EPD.
- For Sector EPDs: a statement that the EPD is a Sector EPD.

In the case of EPDs registered through a regional hub (a regional or national programme based on and fully aligned with the International EPD System through an agreement with the programme operator), “Programme”, “Programme operator”, and “Logotype” shall be expanded to include a reference to the regional programme and the organisation responsible for it.

Where applicable, the cover page shall also include the following information:

- Information about dual registration of EPD in another programme, such as registration number and logotype.
- A statement of conformity with other standards and methodological guides.

5.4.2 PROGRAMME INFORMATION

The programme information section of the EPD shall include:

- the address of the programme operator: *EPD International AB, Box 210 60, SE-100 31 Stockholm, Sweden, E-mail: support@environdec.com*
- the following statement on the requirements for comparability of EPDs, adapted from ISO 14025: “EPDs within the same product category but from different programmes may not be comparable. For two EPDs to be comparable, they must be based on the same PCR (including the same version number) or be based on fully aligned PCRs or versions of PCRs; cover products with identical functions, technical performances and use (e.g. identical declared/functional units); have equivalent system boundaries and descriptions of data; apply equivalent data quality requirements, methods of data collection, and allocation methods; apply identical cut-off rules and impact assessment methods (including the same version of characterisation factors); have equivalent content declarations; and be valid at the time of comparison”;
- a statement that the EPD owner has the sole ownership of, liability for and responsibility for the EPD; and
- information about verification¹² and the PCR in a table with the following format and contents:

| |
|--|
| Accountabilities for PCR, LCA and independent, third-party verification |
| Product Category Rules (PCR) |
| PCR: <name, registration number, version and UN CPC code(s)> |
| PCR review was conducted by: <name and organisation of the review chair, and information on how to contact the chair through the programme operator> |
| Life cycle assessment (LCA) |
| LCA accountability: <name, organization> |
| Third-party verification |

¹² If the EPD has been verified by an approved individual verifier who has received contractual assistance from a certification body that is not accredited, this certification body shall not be included in this table.

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| |
|--|
| <p>Independent third-party verification of the declaration and data, according to ISO 14025:2006, via:</p> <p><input type="checkbox"/> EPD verification by individual verifier</p> <p>Third-party verifier: <i><name, organisation, and signature of the third-party verifier></i></p> <p>Approved by: The International EPD System</p> |
| <p>OR</p> |
| <p>Independent third-party verification of the declaration and data, according to ISO 14025:2006, via:</p> <p><input type="checkbox"/> EPD verification by accredited certification body</p> <p>Third-party verification: <i><name, organisation></i> is an approved certification body accountable for the third-party verification</p> <p>The certification body is accredited by: <i><name of accreditation body & accreditation number, where applicable></i></p> |
| <p>OR</p> |
| <p>Independent third-party verification of the declaration and data, according to ISO 14025:2006 via:</p> <p><input type="checkbox"/> EPD verification by EPD Process Certification*</p> <p>Internal auditor: <i><name, organisation></i></p> <p>Third-party verification: <i><name, organisation></i> is an approved certification body accountable for third-party verification</p> <p>Third-party verifier is accredited by: <i><name of accreditation body & accreditation number, where applicable></i></p> <p>*For EPD Process Certification, an accredited certification body certifies and reviews the management process and verifies EPDs published on a regular basis. For details about third-party verification procedure of the EPDs, see GPI v4, Section 7.5.</p> |
| <p>Procedure for follow-up of data during EPD validity involves third-party verifier¹³:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> |

5.4.3 PRODUCT INFORMATION

The product-information section of the EPD shall include:

- the address and contact information of the EPD owner;
- a description of the organisation. This may include information on product- or management-system-related certifications (e.g., ISO 14024 Type I environmental labels, ISO 9001 and 14001 certificates and EMAS registrations) and other relevant work the organisation wants to communicate (e.g.; SA 8000, supply-chain management and social responsibility);
- the name and location of the production site;

¹³ Following up on the validity of the EPD is required at a minimum once per year with the aim of confirming whether the information in the EPD remains valid or if the EPD needs to be updated during its validity period (see Sections 7.3.2 and 7.4.9 of the GPI). The follow-up can be organized entirely by the EPD owner or together with the original verifier via an agreement between the two parties. In both approaches, the EPD owner is responsible for the procedure being carried out. If a change that requires an update (see Section 6.5 of the GPI) is identified, the EPD shall be re-verified by a verifier.

- product identification by name, and an unambiguous identification of the product by standards, concessions or other means;
- identification of the product according to the UN CPC scheme system. Other relevant codes for product classification may also be included, e.g.:
 - Common Procurement Vocabulary (CPV)
 - United Nations Standard Products and Services Code® (UNSPSC)
 - Classification of Products by Activity (NACE/CPA)
 - Australian and New Zealand Standard Industrial Classification (ANZSIC)
 - Global Trade Item Number (GTIN);
- a description of the product;
- a description of the technical purpose of the product, including its application/intended use;
- a description of the background system, including the main technological aspects;
- for EPDs covering multiple products: a description of the selection of products/sites, a list of contributing manufacturers (if Sector EPD), etc. (see Section 4.9);
- geographical scope of the EPD, i.e., for which geographical location(s) of use and EOL the product's performance has been calculated;
- declared unit;
- reference service life (RSL) and/or technical/actual lifespan, if relevant;
- declaration of the year(s) covered by the data used for the LCA calculation and other relevant reference years;
- reference to the main database(s) for generic data and LCA software used, if relevant;
- system diagram of the processes included in the LCA, divided into the life-cycle stages;
- description of whether the EPD-system boundary is "cradle-to-gate", "cradle-to-gate and end-of-life treatment" or "cradle-to-grave, product use and end-of-life treatment";
- information on which life-cycle stages are not considered (if any), with a justification of the omission; and
- references to any relevant websites for more information or explanatory materials.

This section may also include:

- the name and contact information of the organisation carrying out the underlying LCA study;
- any additional information about the underlying LCA-based information, such as cut-off rules, data quality, allocation methods, and other methodological choices and assumptions;
- a description of the material properties of the product with a declaration of relevant physical or chemical product properties, such as density, etc.; and
- if EOL treatment is not included, the EPD shall contain a statement that it shall not be used for communicating environmental information to consumers/end users of the product.

5.4.4 CONTENT DECLARATION

The content declaration section shall declare the weight of one unit of product, as purchased, and contain information about the content of the product in the form of a list of materials and chemical substances including information on their environmental and hazardous properties. The gross weight of each material/substance shall be declared, including a minimum of 95% of the materials/substances in one unit of product. Content shall be verified by analysing feasibility studies, engineering reports, or the product's bill of materials. If available, on site inspection and analysis, such as exploration studies, may be used to verify the content of the REE mineral ore or feedstock. Table 6 and Table 7 show examples of content declaration lists for 1 kg of REE ore concentrate and sintered Neodymium-Iron-Boron permanent magnets

Table 6 Example of 1 kg REE ore concentrate from hard rock content list.

| REE | La | Ce | Pr | Nd | Sm | Eu | Gd | Tb | Dy | Y |
|-----------------------------|------|-----|------|------|------|----|------|----|----|------|
| Ratio in Concentrate | 0.23 | 0.5 | 0.06 | 0.19 | 0.01 | 0 | 0.01 | 0 | 0 | 0.01 |

Table 7 Example of 1 kg sintered Neodymium-Iron-Boron (NdFeB) permanent magnets content list

| Element | Nd | Pr | Dy | Fe | Other |
|-------------------------------|------|------|------|------|-------|
| Ratio in NdFeB magnets | 0.21 | 0.06 | 0.02 | 0.66 | 0.05 |

If possible, radioactive materials shall be declared in primary production of ore mineral and ore concentrate.

The content declaration does not apply to proprietary materials and substances covered by exclusive legal rights including patent and trademarks. In general, an indication that a product is “free” of a specific hazardous material or substance should be done with caution and only when relevant, following the rules in ISO 14021 on self-declared environmental claims.

Information on the hazardous properties of materials and chemical substances should follow the requirements given in the latest revision of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS),¹⁴ issued by the United Nations or national or regional applications of the GHS. As an example, the following regulations should be used for EPDs intended to be used in the European Union:

- Regulation (EC) No 1907/2006 of the European parliament and of the council of 18 December 2006 concerning the Registration, Evaluation, Authorisation, and Restriction of Chemicals (REACH).
- Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling, and packaging of substances and mixtures.

5.4.4.1. Information about recycled materials

When a product is made in whole or in part with recycled materials, the provenance of the materials (pre-consumer or post-consumer) shall be presented in the EPD as part of the content declaration.

To avoid any misunderstanding about which material may be considered “recycled material”, the guidance given in ISO 14021 shall be considered. In brief, the standard states that:

- only pre-consumer or post-consumer materials (scraps) shall be considered in the accounting of the recycled materials; and
- materials coming from scrap re-utilisation (such as rework, regrind, or scrap generated in a process and capable of being reclaimed within the same process that generated it) shall not be considered as recycled content.

5.4.4.2. Information about packaging

As packaging is strongly connected with the product, the producer shall provide information about packaging in the EPD, when applicable. Packaging may be classified as:

- Distribution Packaging: packaging designed to contain one or more articles or packages, or bulk materials, for the purposes of transport, handling and/or distribution (ISO 21067-1:2016, Section 2.2.6); or
- Consumer Packaging: packaging constituting, with its content, a sales unit for the final user or consumer at the point of retail (ISO 21067-1:2016, Section 2.2.7).

Consumer packaging is generally the outcome of eco-design processes, or other activities, under direct control of the organisation. Many critical categories with strict legal requirements belong to the consumer-packaging category, such as food-contact packaging and pharmaceutical packaging.

The mass of the packaging per product, and the type and function of the packaging, shall be reported in the EPD.

¹⁴ The GHS document is available at www.unece.org.

A statement of the source of the materials (pre-consumer or post-consumer) shall be presented in the EPD when the packaging is made in whole or in part by recycled materials.

5.4.5 ENVIRONMENTAL PERFORMANCE

The subsections below list the mandatory environmental-performance indicators to declare in the EPD. LCA results based on additional indicators may be declared, if they are relevant for the product category, their inclusion is justified in the EPD, appropriate methods¹⁵ are used, and the results are verifiable. If the additional indicators appear to the reader to display duplicate information, the EPD shall contain an explanation of the differences between the declared indicators.

5.4.5.1. Environmental impacts

The EPD shall declare the environmental-impact indicators, per declared unit, per life-cycle stage and in aggregated form, using the default impact categories, impact-assessment methods and characterisation factors available at www.environdec.com/indicators. The source and version of the impact-assessment methods and characterisation factors used shall be reported in the EPD.

Alternative regional life-cycle impact-assessment methods and characterisation factors may be calculated and displayed in addition to the default list. If so, the EPD shall contain an explanation of the differences between the declared sets of indicators, as they may appear to the reader to display duplicate information.

5.4.5.2. Use of resources

The EPD shall declare the mandatory indicators, and may declare the optional indicators, for resource use listed at www.environdec.com/indicators per declared unit, per life-cycle stage and in aggregated form.

5.4.5.3. Waste production and output flows

Waste generated along the whole life-cycle production chains shall be treated following the technical specifications described in the GPI. The EPD may declare the optional indicators for waste production and output flows as listed at www.environdec.com/indicators per declared unit, per life-cycle stage and in aggregated form.

5.4.6 ADDITIONAL ENVIRONMENTAL INFORMATION

An EPD may declare additional environmentally relevant information, in addition to the LCA results of the section on environmental-performance results. The additional environmental information may cover various aspects of specific relevance for the product, for example:

- the release of dangerous substances into indoor air, soil, and water during the use stage;
- instructions for proper use of the product, e.g., to minimise energy or water consumption or to improve the durability of the product;
- instructions for proper maintenance and service of the product, e.g., to minimise energy or water consumption or to improve the durability of the product;
- information on key parts of the product that determine its durability;
- information on recycling including, e.g., suitable procedures for recycling the entire product or selected parts and the potential environmental benefits gained;
- information on a suitable method of re-use of the product (or parts of the product) and procedures for disposal as waste at the end of its life cycle;
- information regarding disposal of the product, or inherent materials, and any other information considered necessary to minimise the product's EOL impacts; and

¹⁵ If any of the following impact categories are declared in the EPD, the corresponding characterisation methods listed in EN 15804 should be used: particulate matter emissions, ionizing radiation (human health), eco-toxicity (freshwater), human toxicity (cancer effects), human toxicity (non-cancer effects) and land use related impacts/soil quality. If these impact categories and characterisation methods are used, the corresponding disclaimers listed in EN 15804 shall be declared in the EPD.

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- a more-detailed description of an organisation's overall environmental work, in addition to the information listed under Section 5.4.3, such as:
 - the existence of any type of organised environmental activity, and
 - information on where interested parties may find more details about the organisation's environmental work.

Any additional environmental information declared shall be substantiated and verifiable, and be derived using appropriate methods and be specific, accurate, not misleading, and relevant to the specific product. Quantitative information is preferred over qualitative information.

The additional environmental information shall not include LCA results, with some exceptions:

- If the EPD owner wants to display results of several scenarios for use or EOL stages, the most representative scenario (for the geographical scope of the EPD) shall be declared in the section on environmental-performance results, and the other scenarios shall be declared in the section on additional environmental information.
- The LCA results of an alternative modelling approach may be declared as additional environmental information, if such an alternative modelling approach is explicitly allowed by the applicable PCR or the GPI. According to this PCR, alternative GWP-biogenic results may be declared, which considers the effect of long-term storage of biogenic carbon (see next bullet point).
- The additional environmental information may include information on permanent (more than 100 years) storage of biogenic carbon, either in the product, in a landfill, or as a consequence of applying carbon capture and storage (CCS) to the incineration of biogenic carbon, and how this would influence GWP-biogenic results if the GWP-biogenic indicator would allow consideration of such storage.

5.4.7 ADDITIONAL SOCIAL AND ECONOMIC INFORMATION

The EPD may also include other relevant social and economic information as additional and voluntary information. This may be product information or a description of an organisation's overall work on social or economic sustainability, such as activities related to supply-chain management or social responsibility.

Any additional social and economic information declared shall be substantiated and verifiable, and be derived using appropriate methods and be specific, accurate, not misleading, and relevant to the specific product. Quantitative information is preferred over qualitative information.

5.4.8 DIFFERENCES VERSUS PREVIOUS VERSIONS

For EPDs that have been updated, the following information shall be included:

- a description of the differences versus previously published versions, and
- a revision date on the cover page.

5.4.9 REFERENCES

A reference section shall be included, including a list of all sources referred to in the EPD, including the GPI (including version number), and PCR (registration number, name, and version) used to develop the EPD.

Price information sources used to define economic-allocation coefficients must be supplied in the EPD as part of the references within a subsection, preferably with 5- or 10-year average prices included.

5.4.10 EXECUTIVE SUMMARY IN ENGLISH

The executive summary, if included (see Section 5.1), shall contain relevant summarised information related to the programme, product, environmental performance, information related to pre-certified EPDs, and information related to sector EPDs. Besides this, further information may be added such as additional environmental, social or economic information, references as well as differences versus previous EPD versions.

6 LIST OF ABBREVIATIONS

| | |
|----------|---|
| ANZSIC | Australian and New Zealand Standard Industrial Classification |
| CPC | Central product classification |
| CPV | Common procurement vocabulary |
| EOL | End of Life |
| EPD | Environmental product declaration |
| GPI | General Programme Instructions |
| GTIN | Global trade item number |
| GWP | Global Warming Potential |
| ISO | International Organization for Standardization |
| LCA | Life-cycle assessment |
| LCI | Life-cycle inventory |
| NACE/CPA | Classification of products by activity |
| ND | Not declared |
| PCR | Product category rules |
| REACH | Restriction of chemicals |
| REE | Rare-earth element |
| REO | Rare-earth oxide |
| RSL | Reference service life |
| SI | The International System of Units |
| UN | United Nations |
| UNSPSC | United Nations standard products and services code |

7 REFERENCES

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ISO (2000) ISO 14020:2000, Environmental labels and declarations – General principles.

ISO (2004) ISO 8601:2004 Data elements and interchange formats – Information interchange – Representation of dates and times.

ISO (2006a) ISO 14025:2006, Environmental labels and declarations – Type III environmental declarations – Principles and procedures.

ISO (2006b) ISO 14040:2006, Environmental management – Life cycle assessment – Principles and framework.

ISO (2006c) ISO 14044: 2006, Environmental management – Life cycle assessment – Requirements and guidelines.

ISO (2013) ISO/TS 14067:2013, Greenhouse gases – Carbon footprint of products – Requirements and guidelines for quantification and communication.

ISO (2014) ISO 14046:2014, Environmental management – Water footprint – Principles, requirements and guidelines.

ISO (2015a) ISO 14001:2015, Environmental management systems – Requirements with guidance for use.

ISO (2015b) ISO 9001:2015, Quality management systems – Requirements.

ISO (2016a) ISO 21067-1:2016, Packaging – Vocabulary – Part 1: General terms.

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8 VERSION HISTORY OF PCR

VERSION 1.0, 2024-01-25

Original version of the PCR.

VERSION 1.0.1, 2024-09-06

Editorial update, where the word “draft” was removed from the header and the contact information of the Secretariat was updated.

9 ANNEX 1 – RARE-EARTH ORES TO RARE-EARTH OXIDE PROCESS

9.1 MONAZITE

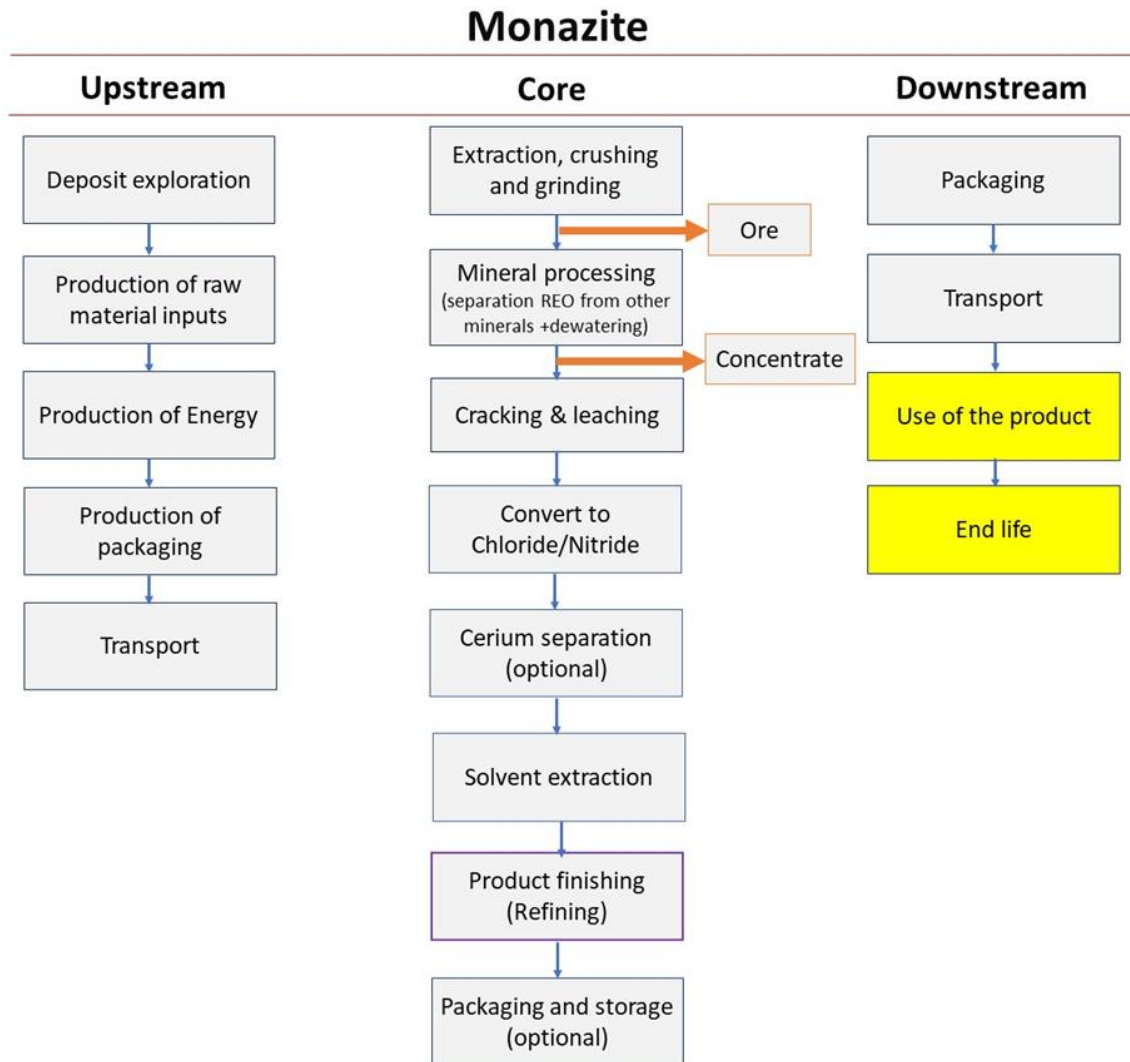


Figure 4. System boundary for an EPD on monazite-mineral extraction to REO.

9.2 BASTNAESITE

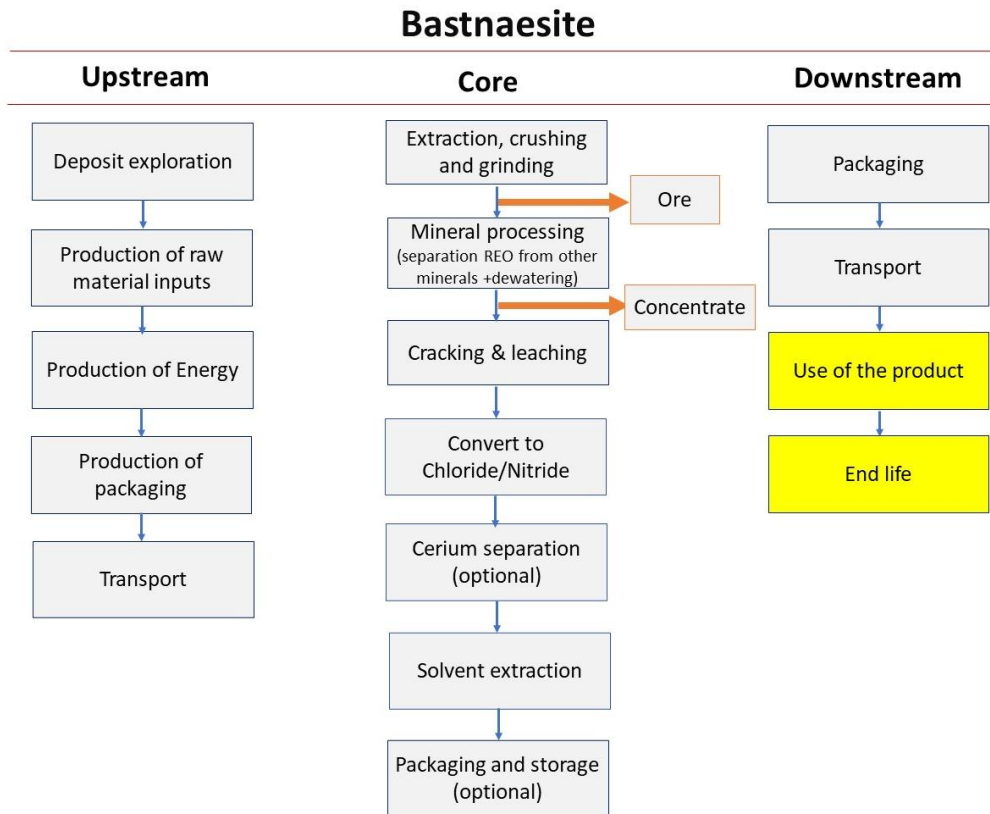


Figure 5. System boundary for an EPD on Bastnaesite-mineral extraction to REO.

9.3 ION-ADSORPTION CLAY

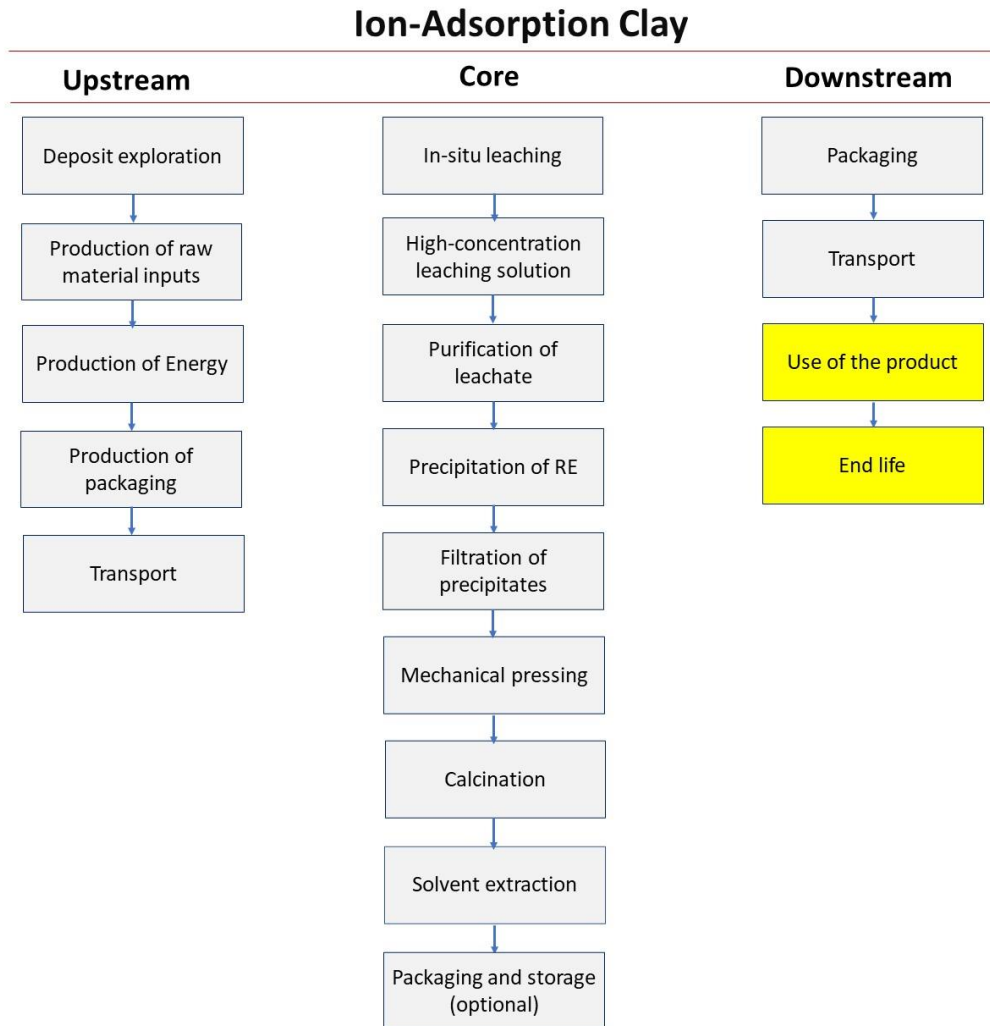


Figure 6. System boundary for an EPD on ion-adsorption-clay extraction to REO.

10 ANNEX 2 – RARE-EARTH OXIDE TO METAL/ALLOY

10.1 ELECTROWINNING

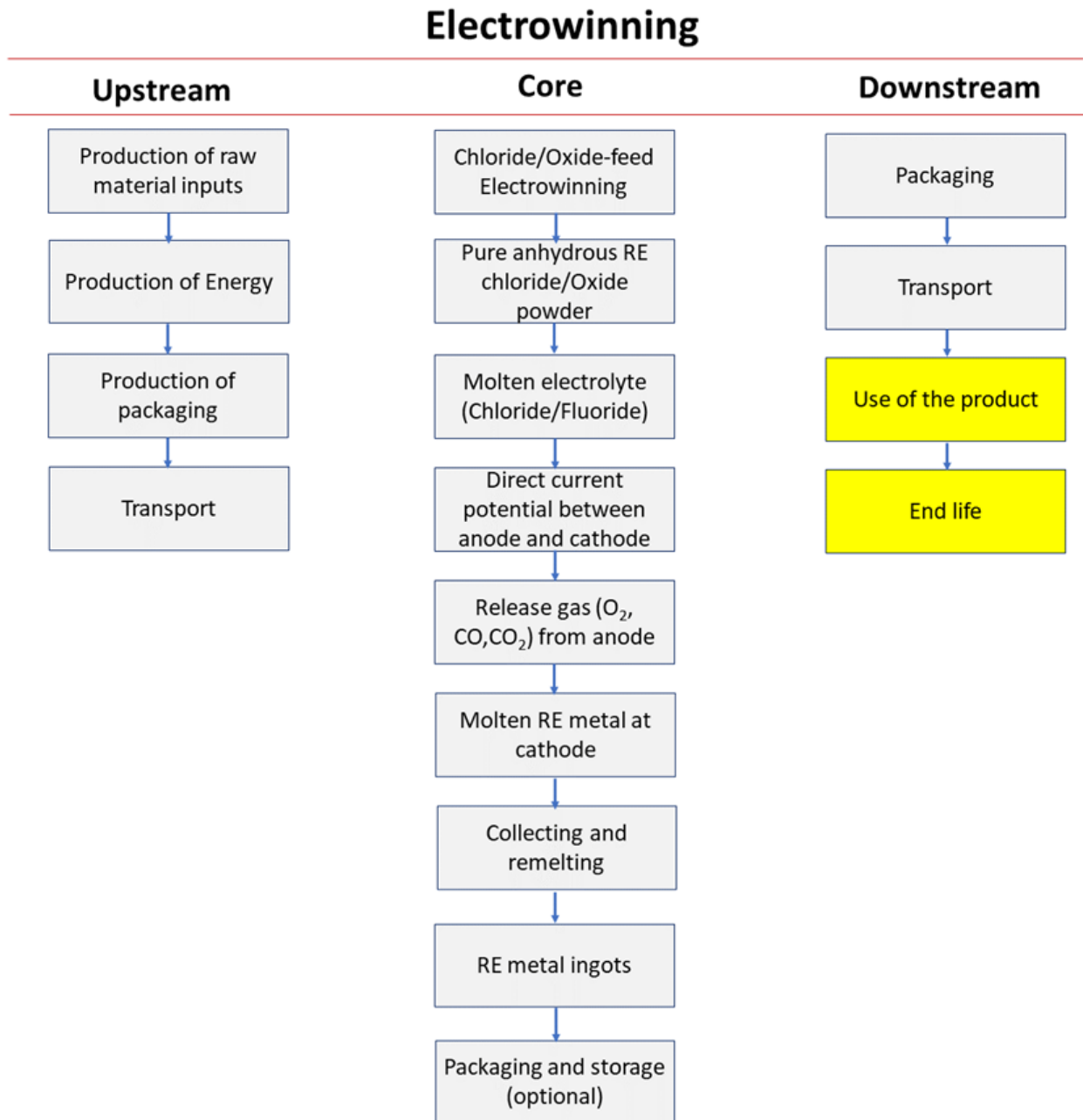


Figure 7. System boundary for an EPD on the electrowinning process for REE metal/alloy production.

10.2 METALLOTHERMIC REDUCTION

10.2.1 METALLOTHERMIC REDUCTION-CALCIUM REDUCTANT

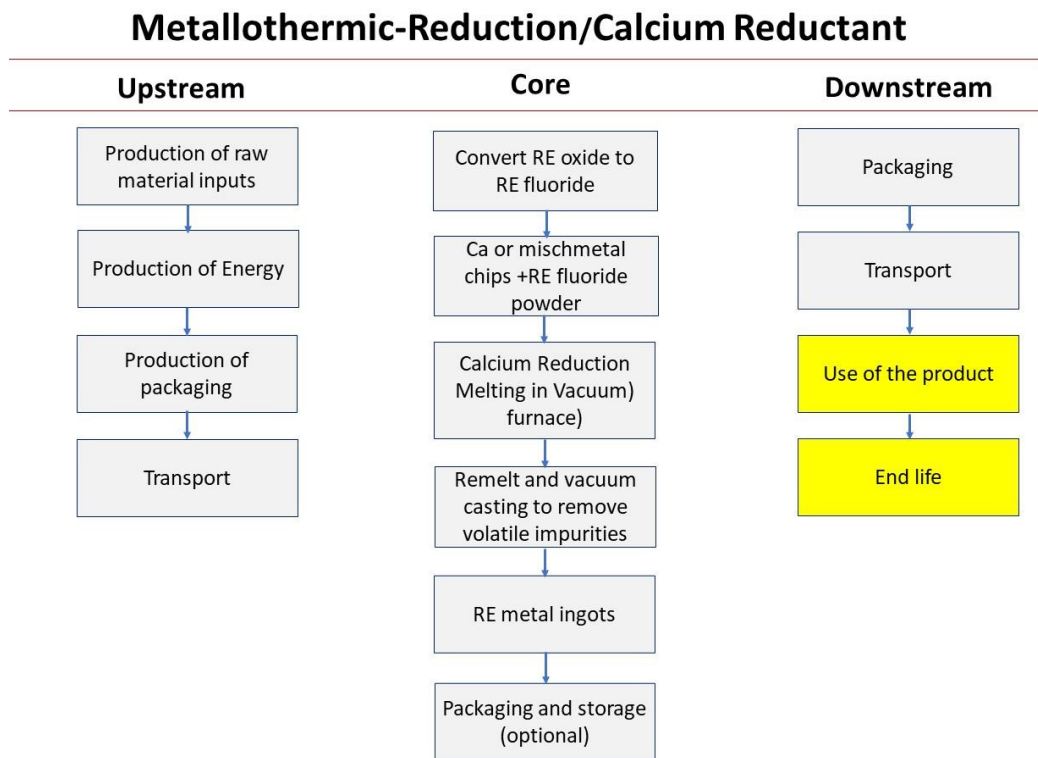


Figure 8. System boundary for an EPD on the metallothermic-reduction/Calcium Reductant process for REE metal/alloy production.

10.2.2 Metallothermic reduction-Lanthanum Reductant

Metallothermic-Reduction/Lanthanum Reductant

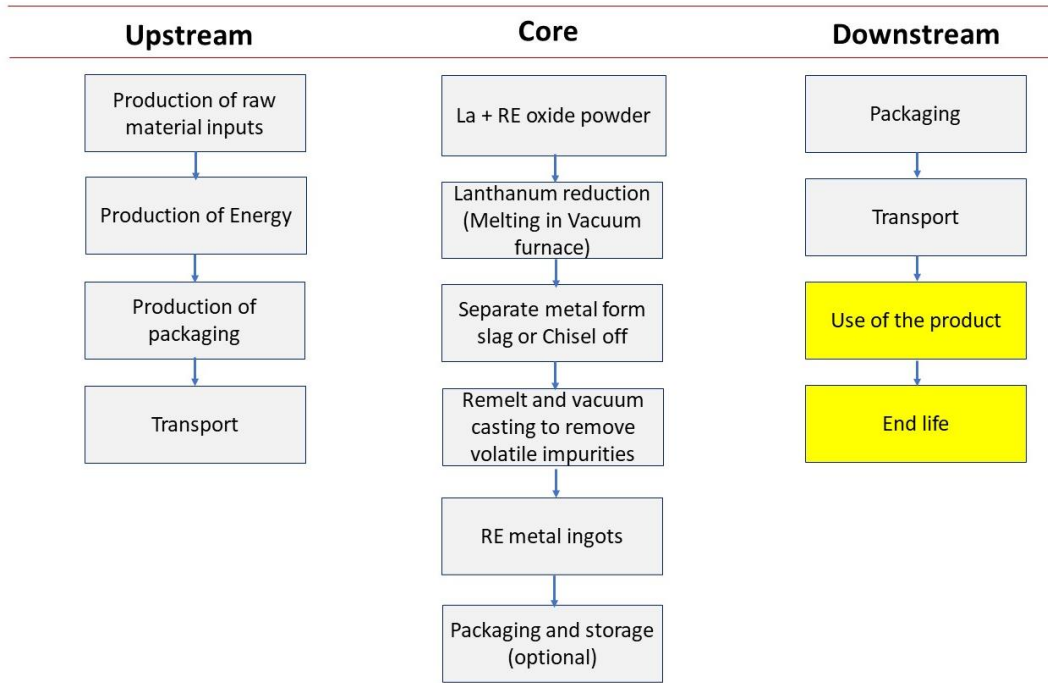


Figure 9. System boundary for an EPD on the metallothermic-reduction/Lanthanum Reductant process for REE metal/alloy production.

11 ANNEX 3 – RARE-EARTH METAL/ALLOY TO MAGNET

11.1 NEODYMIUM-IRON-BORON MAGNET

11.1.1 SINTERED MAGNET

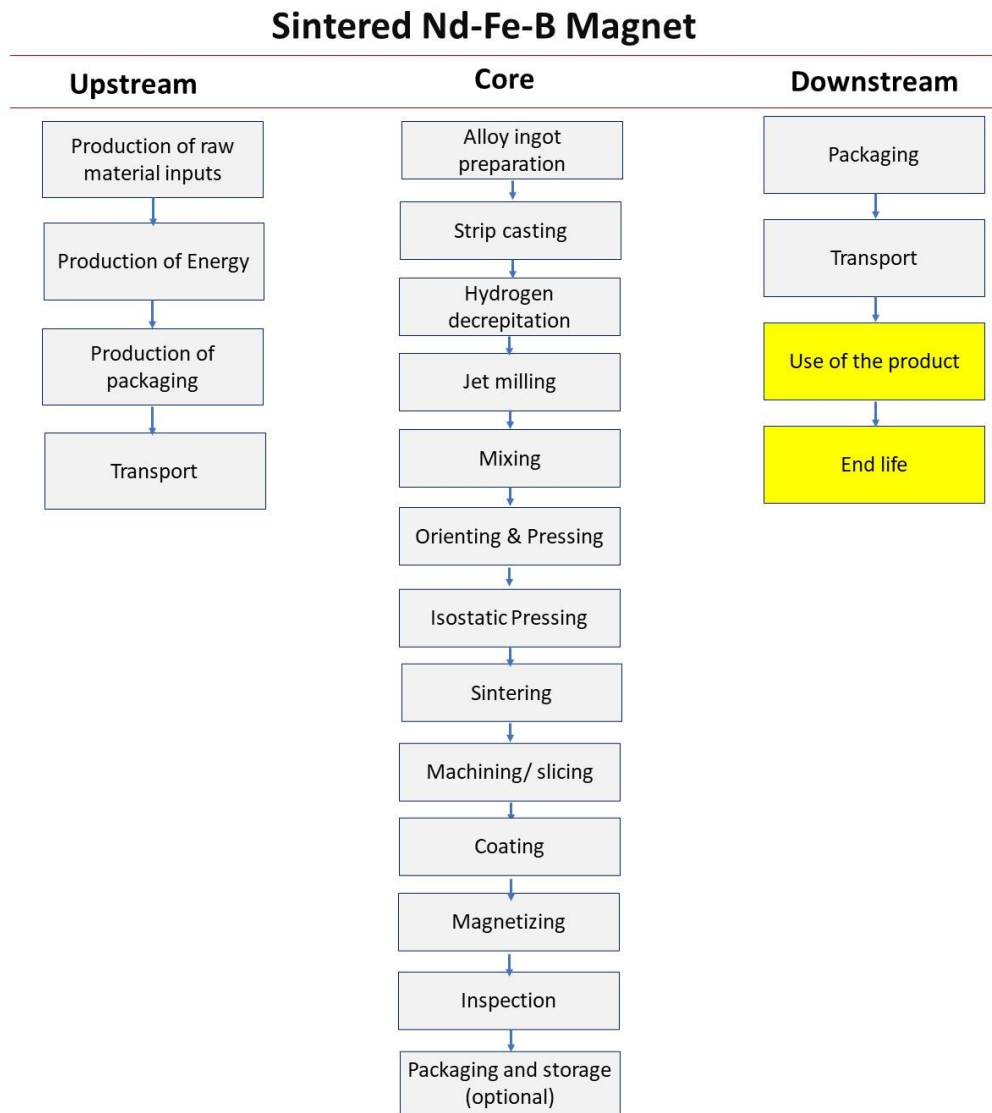


Figure 10. System boundary for an EPD on sintered-Nd-Fe-B-magnet production.

11.1.2 BONDED MAGNET

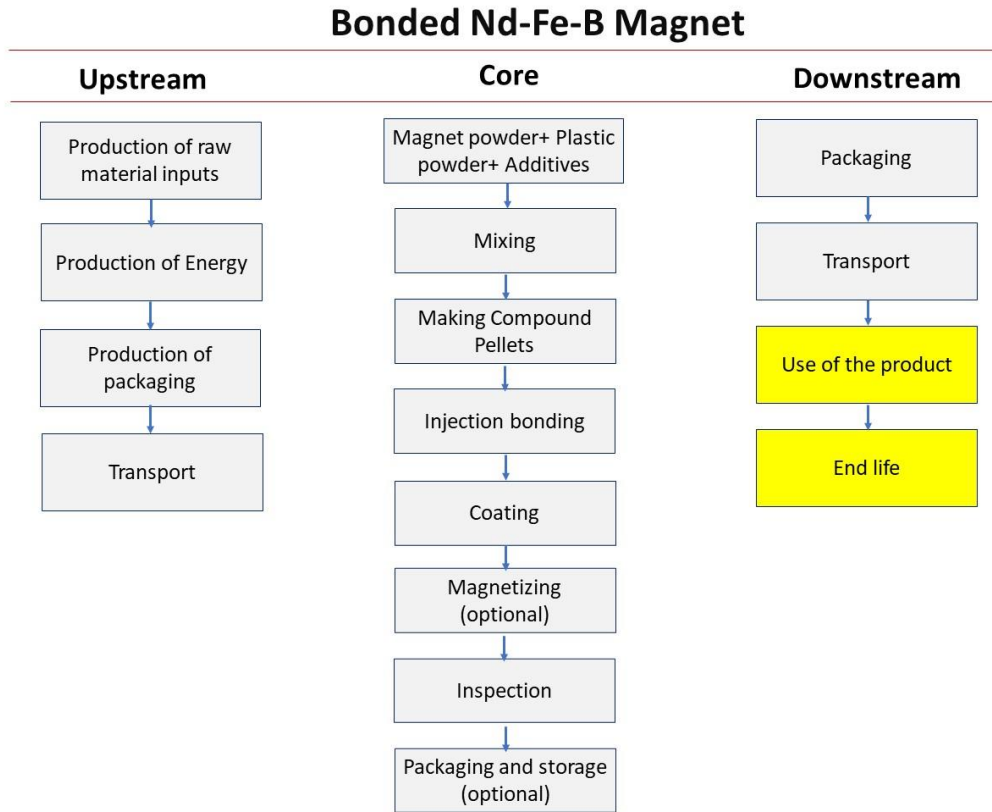


Figure 11. System boundary for an EPD on bonded-Nd-Fe-B-magnet production.

11.2 SINTERED SAMARIUM-COBALT MAGNET

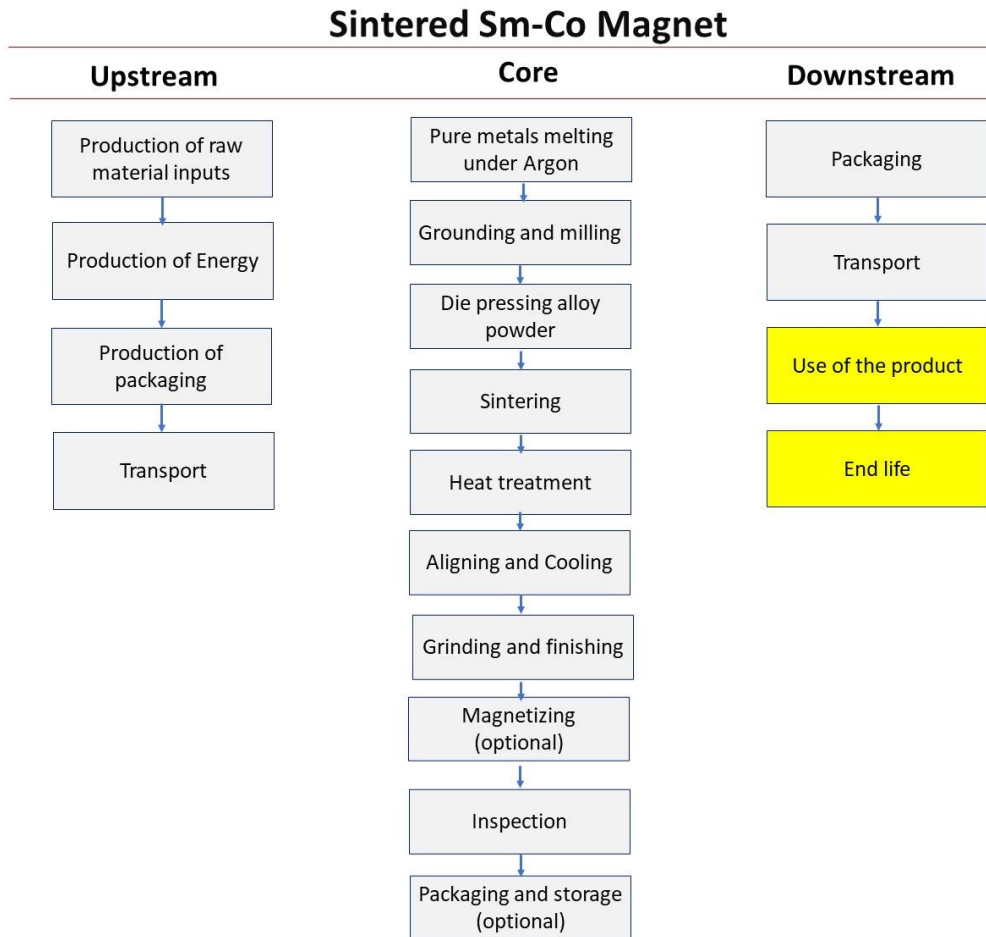


Figure 12. System boundary for an EPD on sintered-Sm-Co-magnet production.

11.3 SAMARIUM-IRON-NITROGEN MAGNET

11.3.1 MAGNET-POWDER PRODUCTION

11.3.1.1. Anisotropic Sm-Fe-N magnet powder - Process 1

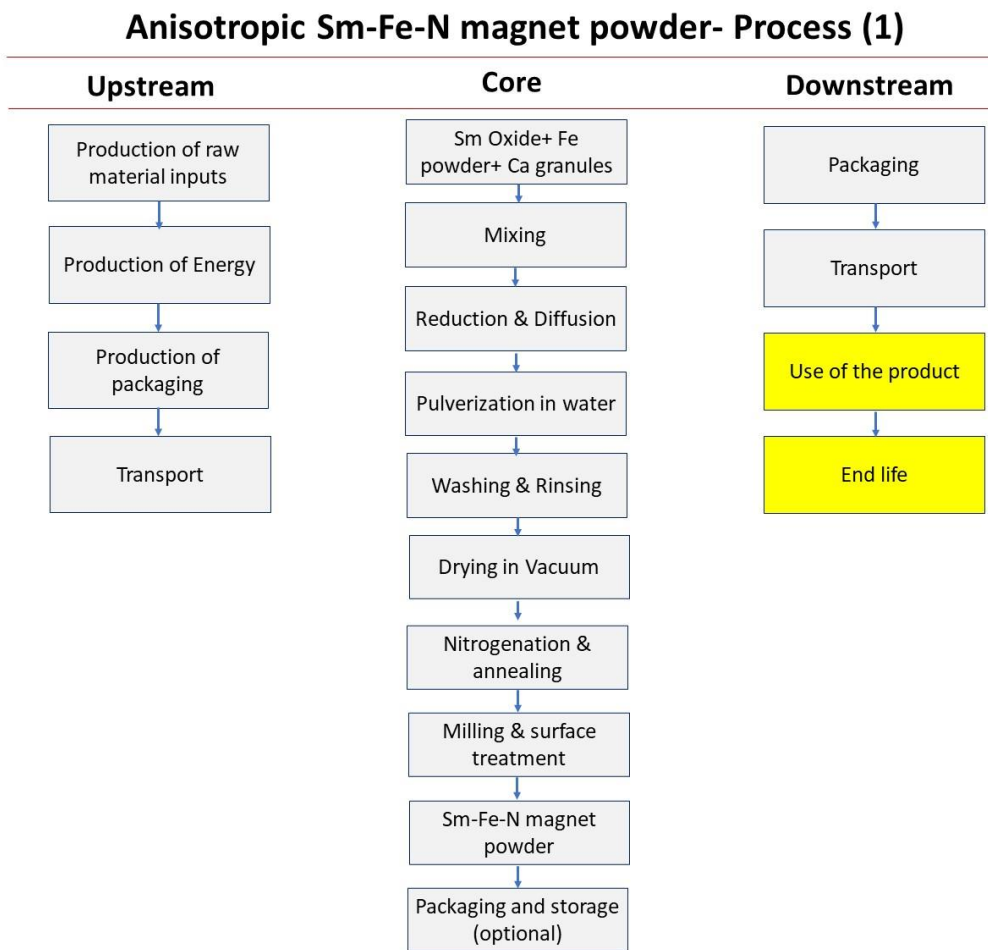


Figure 13. System boundary for an EPD on anisotropic-SmFe-N-magnet-powder production - Process 1.

11.3.1.2. Anisotropic $\text{Sm}_2\text{Fe}_{17}\text{N}_3$ magnet powder - Process 2

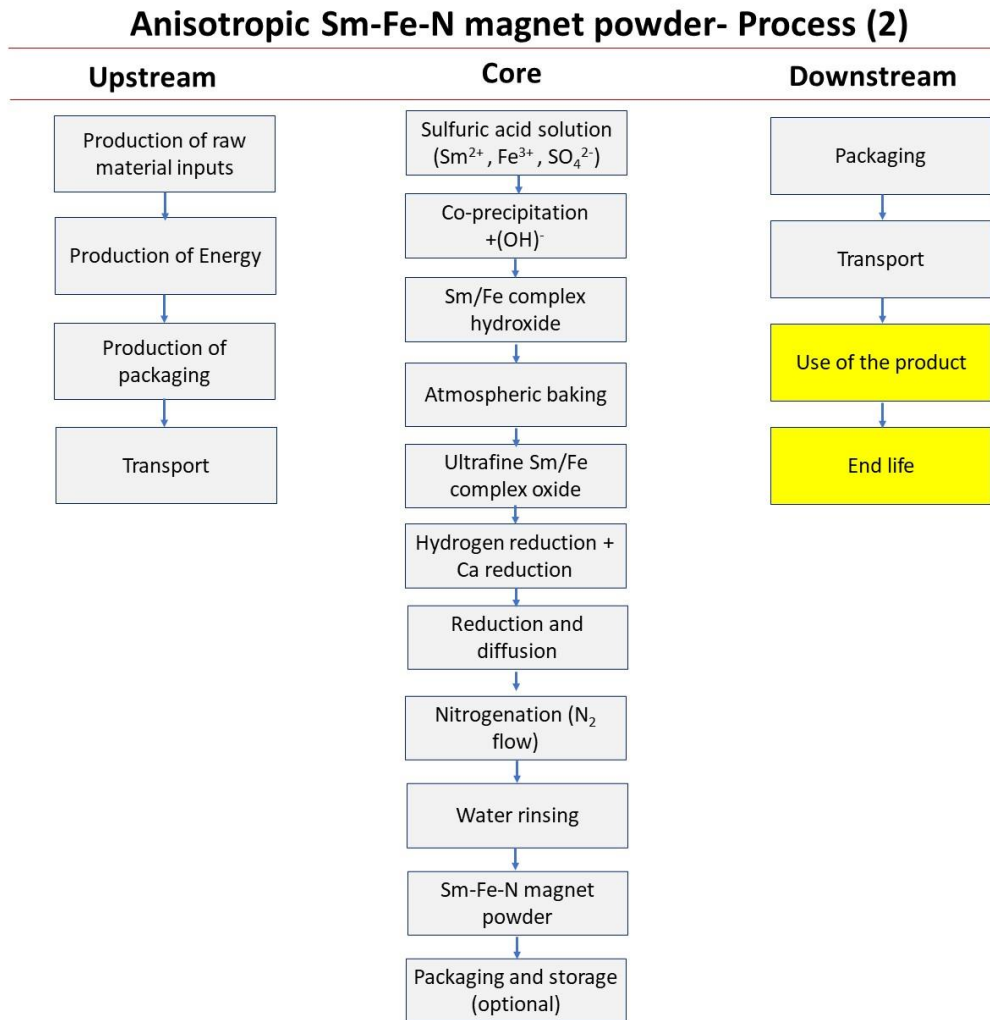


Figure 14. System boundary for an EPD on anisotropic-Sm-Fe-N-magnet-powder production - Process 2.

11.3.1.3. Anisotropic $\text{Sm}_2\text{Fe}_{17}\text{N}_3$ magnet powder - Process 3

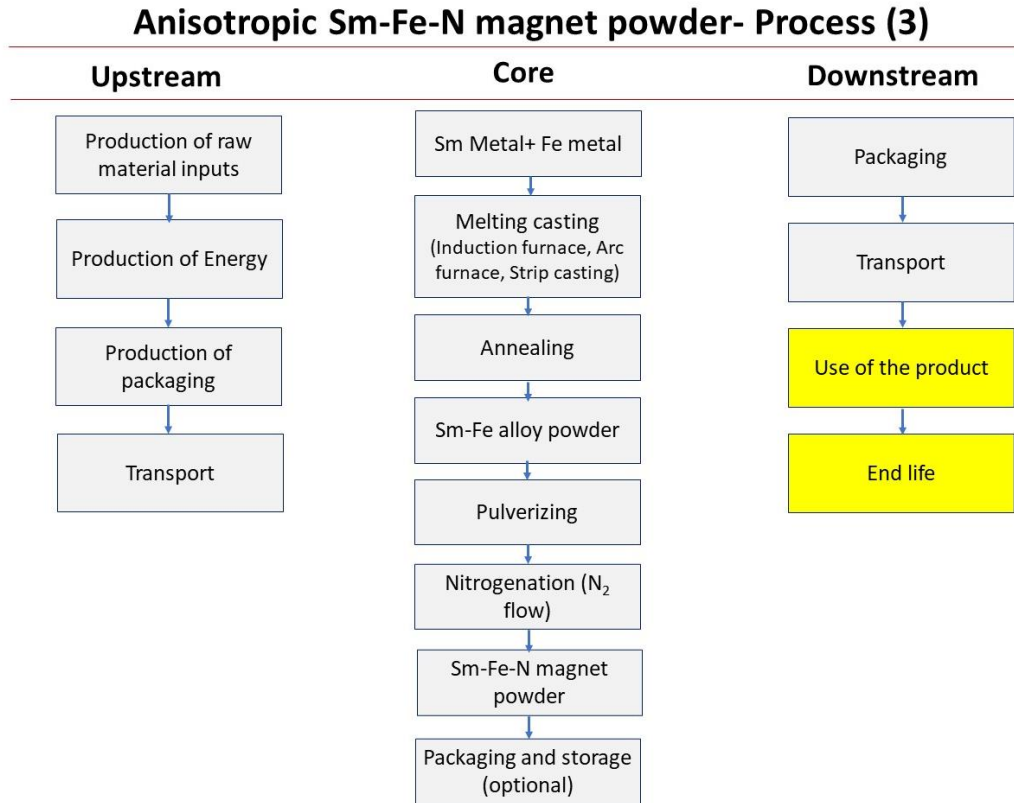


Figure 15. System boundary for an EPD on anisotropic-Sm-Fe-N-magnet-powder production - Process 3.

11.3.1.4. Isotropic $\text{SmFe}_{7-9}\text{N}_x$ magnet powder

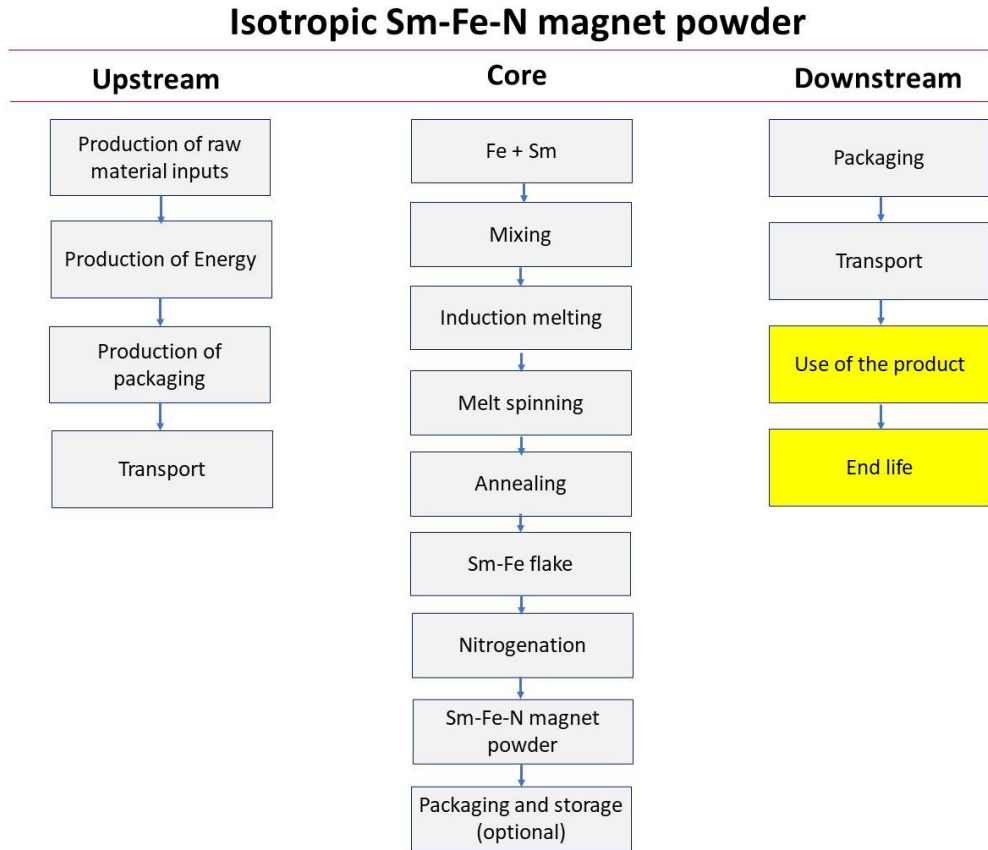


Figure 16. System boundary for an EPD on isotropic Sm-Fe-N-magnet-powder production.

11.3.2 COMPRESSION-MOLDED AND BONDED MAGNET

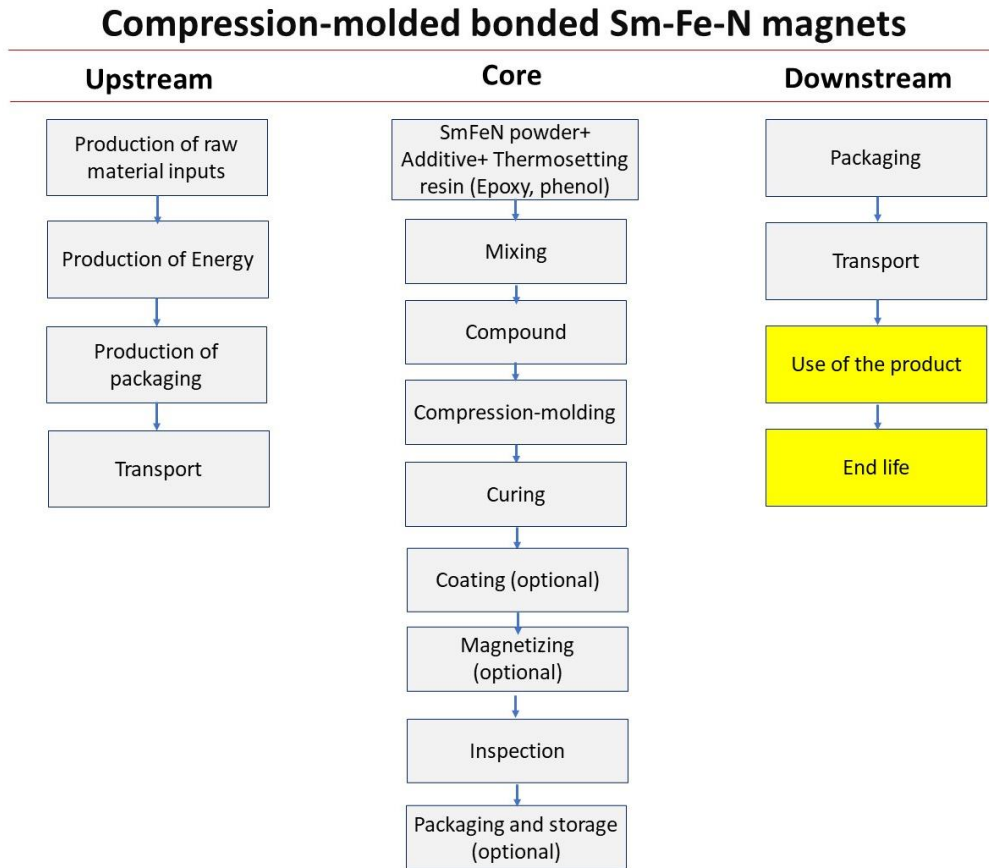


Figure 17. System boundary for an EPD on compression-molded, bonded-Sm-Fe-N-magnet production.

11.3.3 INJECTION-MOLDED AND BONDED MAGNET

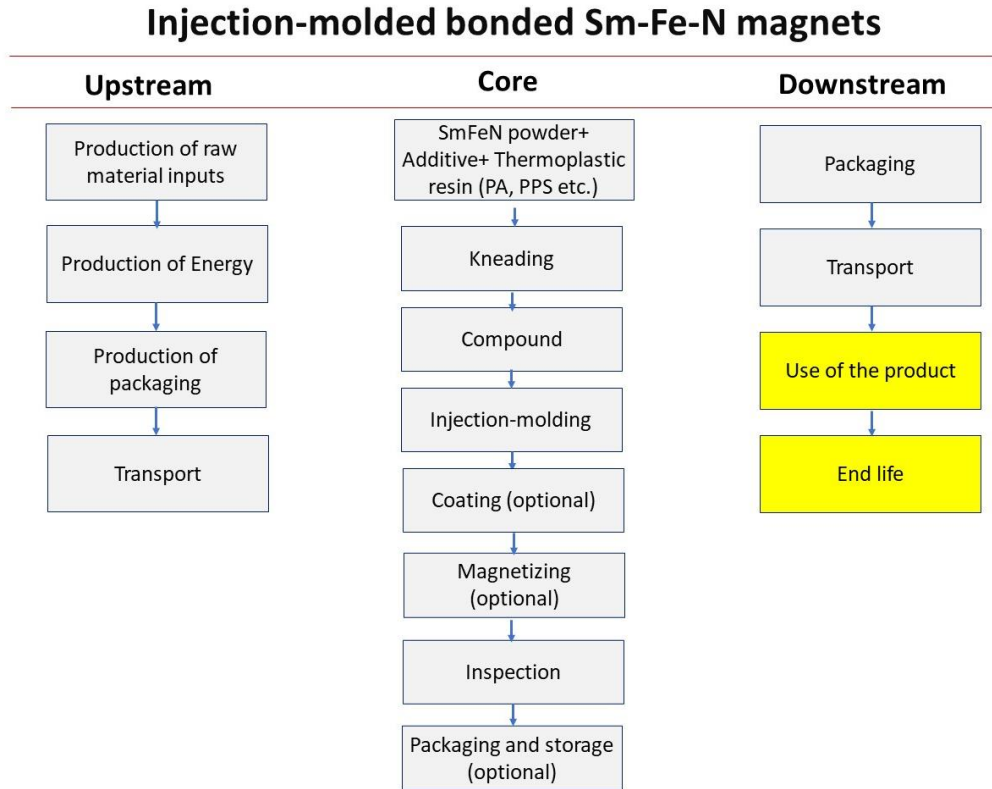


Figure 18. System boundary for an EPD on injection-molded, bonded-Sm-Fe-N-magnet production.

11.3.4 EXTRUDED/SHEET MAGNET

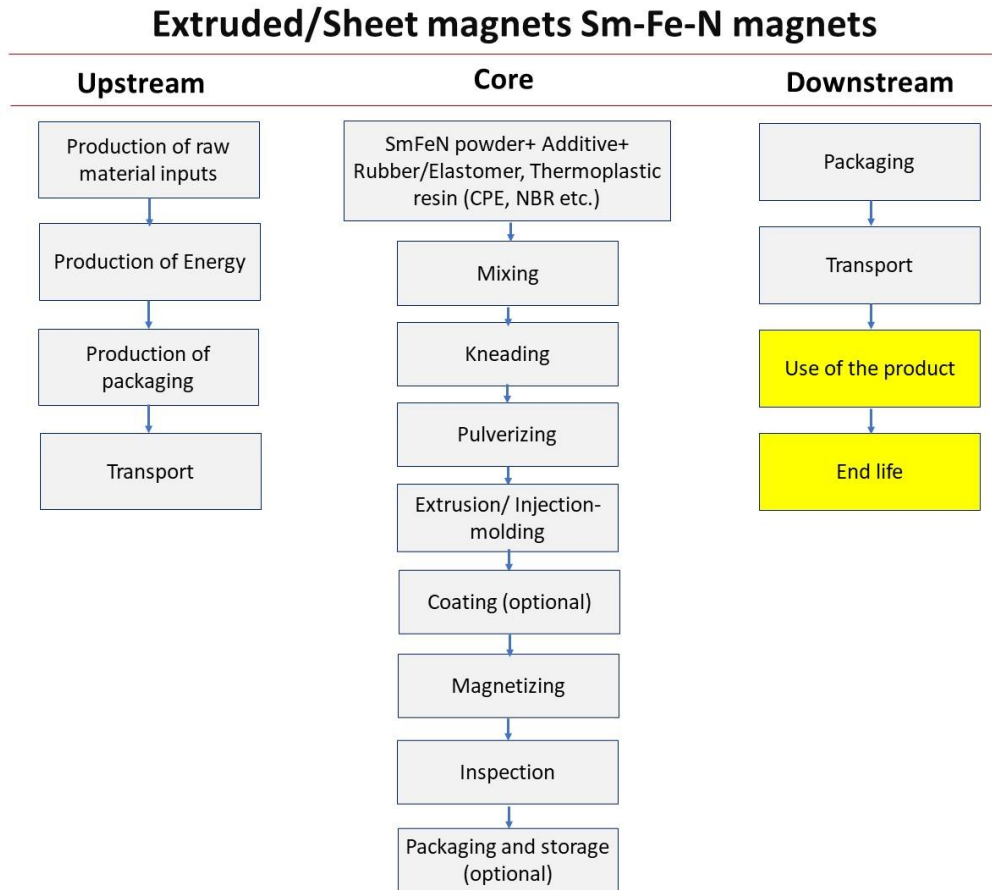


Figure 19. System boundary for an EPD on extruded/sheet, Sm-Fe-N-magnet production.

RARE-EARTH CONCENTRATES, OXIDES, METALS, AND MAGNETS (FOR NON-CONSTRUCTION USES)
PRODUCT CATEGORY CLASSIFICATION: UN CPC 34290 AND 46931 (SUBSET)

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