Elevator Technology

## Environmental Product Declaration

synergy elevator In accordance with ISO 14025



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## Program-related information and verification

See PCR for detailed requirements.

| Program:  | The International EPD® System<br>EPD International AB<br>Box 210 60<br>SE-100 31 Stockholm<br>Sweden<br>www.environdec.com |
|---|--|
| EPD registration number:  | S-P-01066  |
| Publication date:   | 2017-11-15   |
| Validity date:  | 2020-08-23   |
| Product category rules:   | Environdec PCR for Lifts (Elevators) Version 1.0   |
| Product group classification:   | UN CPC 4354 Lifts, skip hoists, escalators and moving walks  |
| Reference year for data:  | 2016   |
| Geographical scope:   | North America  |
| Product category rules (PCR):   | Lifts (Elevators), Version 1.0, 2015:05, October 14th, 2015  |
| Review panel for this PCR:  | The Technical Committee of the International EPD® System.<br>Full list of TC members available on www.environdec.com/TC    |
| Independent verification of the declaration and data, according to ISO 14025: 2006: | <ul> <li>EPD Process Certification (internal)</li> <li>EPD Verification (external)</li> </ul>                              |
| Third-party verifier:   | Thomas P. Gloria, Ph. D. — Industrial Ecology Consultants  |
| Accredited by:  | Approved by the International EPD System   |

## Contact information

| EPD owner:        | thyssenkrupp  |
|-------------------|---|
| LCA author:       | thinkstep<br>thinkstep, 170 Milk Street, Boston, MA 02170<br>thinkstep.com; Contact: (617) 247-4477 |
| Program operator: | EPD International AB<br>info@environdec.com   |

We are proud to publish this lift Environmental Product Declaration (EPD) that follows the Product Category Rules of the International EPD® System.

thyssenkrupp is the result of a merger of two German steel companies: thyssen AG, founded in 1891, and Krupp, founded in 1811. The two companies combined their expertise and experience in the steel industry in order to offer a wider range of products and services, including premium carbon steel; high-performance alloys; automotive components and systems; material trading, logistical and industrial services; and elevators, escalators, moving walks and passenger boarding bridges.

Today, thyssenkrupp Elevator is the largest producer of elevators in the Americas, with more than 21,000 employees, more than 230 branch and service locations, and sales of more than \$2.9 billion. thyssenkrupp Elevator Americas oversees all business for operations in the United States, Canada and Central and South America. It is a subsidiary of thyssenkrupp Elevator AG.

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For more information about our company, visit https://www.thyssenkruppelevator.com

## Products

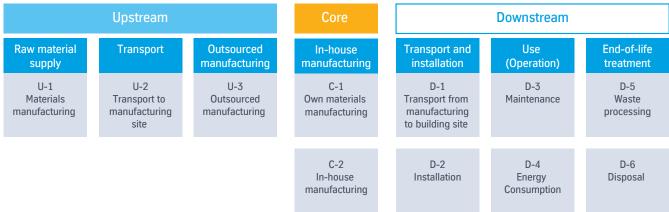
As the world becomes increasingly connected and urbanized, efficient and reliable mobility is key. We apply this mindset to our elevator designs and take a holistic approach to engineering in order to address challenges at a systemic level. As seen in our application of regenerative energy, as well as our MAX and HoloLens partnership with Microsoft, our goal is to continually improve our standards, finding energy, saving and net zero opportunities at every turn. With MAX, our predictive maintenance and service solution, we aim to equip 180,000 elevators around the world with this revolutionary technology in 2017. HoloLens helps elevator service technicians to visualize and identify problems ahead of a job and have remote, hands-free access to technical and expert information when onsite, improving the way people and cities move. We don't plan to stop there.

As demand for intelligent design production grows, so does our involvement with third-party entities and non-governmental organizations that push for transparency and accountability. We're currently developing Life Cycle Assessments (LCAs) for 100 percent of our products. We are proud to publish our second Lift Environmental Product Declaration (EPD) that follows the Product Category Rules (PCR) published by environdec on October 14, 2015. This document displays the environmental declaration of our synergy elevator.

Today's low to mid-rise buildings are more innovative than ever, from how much energy they consume to how much space they occupy. The building's transportation system should be just as innovative. thyssenkrupp synergy elevator provides greater flexibility in configuration, load capacity and speed, while its machine room-less design allows you to maximize building space. synergy also features our advanced regenerative drive technology, which captures generated power and feeds it back into the building's electrical grid, reducing energy costs. The thyssenkrupp synergy building-supported elevator is designed to rely on the building structure for support. Similar to the synergy self-supported elevator, ride quality, efficiency and performance have been engineered with excellence.

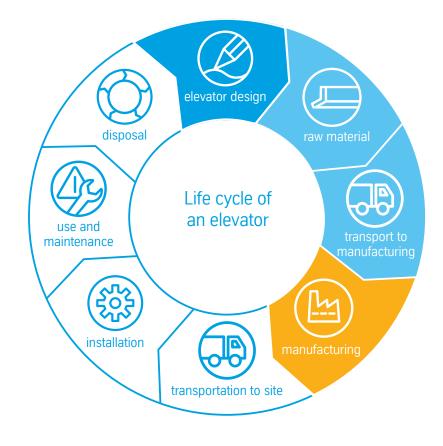
The general product system (shown below) is organized by three general life cycle stages: 1. Upstream, which includes the raw material supply, inbound transport and outsourced manufacturing; 2. Core, including own materials and in-house manufacturing; and 3. Downstream, which comprises transport and installation, use during the service life, and end-of-life during elevator modernization or building demolition. Note that the process of modernization is not included in the system, only the disposal of the components after 25 years of useful life.

We believe that sustainability requirements should be integrated into product designs, and we design with a life cycle approach. We are making continual improvements based on the results of our LCAs to ensure our products potential environmental impacts are reduced. Our life cycle figure reflects this philosophy by including the elevator design stage. The design stage is not included in the system boundaries and not included in the calculations of the LCA study.



#### System boundaries for a lift system

# Life cycle



This declaration covers the synergy elevator produced in Middleton, TN, specified as follows:

| synergy elevator specifications                      |                                    |
|--|------------------------------------|
| Commercial name                                      | synergy                            |
| Type of installation                                 | New installation                   |
| Main purpose   | Transport of passengers            |
| Type of lift   | Traction MRL                       |
| Type of drive system                                 | Traction                           |
| Capacity rated load (fixed or range)                 | 3500 lb (1,588 kg)                 |
| Rated speed (fixed or range)                         | 350 fpm (106.68 m/min)             |
| Number of stops (fixed or range)                     | 12                                 |
| Traveled height (fixed or range)                     | 300' (91.44 m)                     |
| Number of operating days per year (fixed or range)   | 260                                |
| Applied Usage Category (UC) according to ISO 25745-2 | Category 3, Medium usage intensity |
| Designed Reference Service Life (RSL)                | 25 years                           |
| Geographic region of intended installation region    | North America                      |
| Recommended application (main market)                | Low- to mid-rise                   |
| Building rise (typical)                              | 5-30 floors                        |
| Building type  | All                                |
| Optional equipment                                   | Photovoltaic cells*                |
| Additional requirements                              | Building supported, non-seismic    |

\* Photovoltaic cells are an engineered option.

## synergy

Today's low to mid-rise buildings are more innovative than ever, from how much energy they consume to how much space they occupy. The building's transportation system should be just as innovative. thyssenkrupp synergy elevator provides greater flexibility in configuration, load capacity and speed, while its machine room-less design allows you to maximize building space.

synergy also features our advanced regenerative drive technology, which captures generated power and feeds it back into the building's electrical grid, reducing energy costs. Since the cab is from thyssenkrupp Elevator, you will benefit from the industry's only UL-validated 01350 CA compliant low-emitting interiors. So VOC (volatile organic compound) emissions are one less thing to worry about.

In addition to function, we know that the embedded chemicals we're exposed to every day have an impact on the environment and our health. As a result, we are part of the transparency movement to push for better and cleaner materials. We published Health Product Declarations (HPDs) disclosing the ingredients in our product down to 1000 ppm.

To ensure our customers aren't exposed to toxic chemicals, our cabs abide by the CA 01350 low VOC emission standard. Our cabs are third-party validated by UL (Underwriters Laboratories), and thyssenkrupp Elevator is the only elevator company to achieve this validation.

The Cradle to Cradle Product Standard, a certification that evaluates a product using five quality categories, is one of the strictest in the industry. Every two years, manufacturers must demonstrate good-faith efforts to improve their products in order to gain recertification. We're happy to report that thyssenkrupp Elevator entered Cradle to Cradle's pilot project having only the second product in the building industry to achieve a Material Health Certification.



## **Functional unit**

The functional unit evaluated for this study is:

### The transportation of a load over a distance, expressed in tonne [t] over a kilometer [km] traveled (i.e. tonne-kilometer [tkm])

According to the PCR, the Functional Unit (FU) should be calculated as the average car load %Q [tonnes] times the distance traveled by the lift during the service life  $S_{RSL}$  [km].

### $FU = %Q \times S_{RSL}$

The average car load was calculated for the synergy by dividing the rated lift load [kg] by 1000 [kg/tonne], then multiplying by the percentage of rated load from Table 3 in ISO 25745-2:

### %Q = 1587.57/1000 x 3.5% = 0.05 [tonnes]

The lifetime distance traveled for the synergy was calculated by dividing the one-way average travel distance  $(S_{av})$  [m] by 1000 [m/km], then multiplying by number of trips per day  $(n_d)$ , the number of operating days per year  $(n_{op})$ , and the Reference Service Life (RSL) of the elevator [years].

## S<sub>RSL</sub>= 19.31 [m]/1000 [m/km] x 500 [trips/d] x 260 [d/yr] x 25 [yr] = 62764 [km]

Therefore, the functional unit provided by the synergy is calculated as:

#### FU = 0.05 tonnes x 62764 km = 3488.51 tonne-km

Comparability of EPDs is only achievable if the functional unit and the following performance characteristics of the different lift (elevator) systems are equivalent: usage category, traveling height, number of stops, rated load, rated speed, and geographic region.



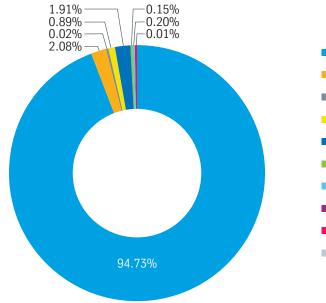
## **Content declaration**

The elevator composition is declared in quantitative terms of the total, considering all life cycle stages and according to the cut-off rules described in Section 6 of the PCR.

| Material classification              | Units  | synergy           | DQI*       |
|--------------------------------------|--------|-------------------|------------|
| Ferrous metals                       | kg (%) | 11212.69 (94.73%) | Calculated |
| Electric and electronic equipment    | kg (%) | 246.05 (2.08%)    | Calculated |
| Lubricants                           | kg (%) | 2.80 (0.02%)      | Calculated |
| Organic materials                    | kg (%) | 105.75 (0.89%)    | Calculated |
| Non-ferrous metals                   | kg (%) | 226.31 (1.91%)    | Calculated |
| Plastics and rubbers                 | kg (%) | 17.49 (0.15%)     | Calculated |
| Inorganic materials                  | kg (%) | 23.50 (0.20%)     | Calculated |
| Refrigerants in car air conditioners | kg (%) | 0.00 (0.00%)      | Calculated |
| Batteries and accumulators           | kg (%) | 1.53 (0.01%)      | Calculated |
| Other materials                      | kg (%) | 0.00 (0.00%)      | Calculated |
| Total mass                           | kg     | 11836.13          | Calculated |
| Total mass per tkm                   | kg     | 3.39              | Calculated |

Table 1: Material composition by material classification for synergy

\* measured / calculated / estimated / literature

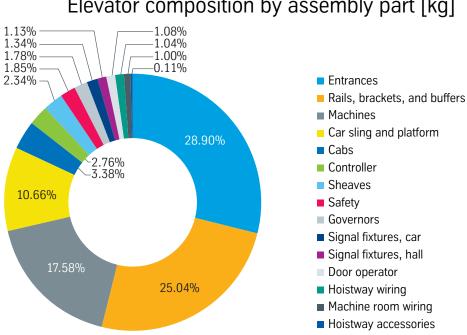


### Elevator composition by material [kg]

- Ferrous metals
- Electric and electronic equipment
- Lubricants
- Organic materials
- Non-ferrous metals
- Plastics and rubbers
- Inorganic materials
- Refrigerants in car air conditioners
- Batteries and accumulators
- Other materials

| Assembly name                | Units  | synergy          |
|------------------------------|--------|------------------|
| Entrances                    | kg (%) | 3420.67 (28.90%) |
| Rails, brackets, and buffers | kg (%) | 2964.13 (25.04%) |
| Machines                     | kg (%) | 2080.90 (17.58%) |
| Car sling and platform       | kg (%) | 1261.27 (10.66%) |
| Cabs                         | kg (%) | 399.76 (3.38%)   |
| Controller                   | kg (%) | 326.83 (2.76%)   |
| Sheaves                      | kg (%) | 276.98 (2.34%)   |
| Safety                       | kg (%) | 219.44 (1.85%)   |
| Governors                    | kg (%) | 210.65 (1.78%)   |
| Signal fixtures, car         | kg (%) | 158.73 (1.34%)   |
| Signal fixtures, hall        | kg (%) | 133.79 (1.13%)   |
| Door operator                | kg (%) | 127.81 (1.08%)   |
| Hoistway wiring              | kg (%) | 123.37 (1.04%)   |
| Machine room wiring          | kg (%) | 118.19 (1.00%)   |
| Hoistway accessories         | kg (%) | 13.60 (0.11%)    |
| Total mass                   | kg     | 11836.13         |

Table 2: Material composition by assembly part for synergy



## Elevator composition by assembly part [kg]

## **Environmental performance**

#### Use of resources and energy

Primary energy and other resource use results are presented in this section for the synergy elevator. Table 3 below contains renewable and non-renewable primary energy, renewable and non-renewable resources, renewable and non-renewable secondary fuels and recovered energy flows in terms of life cycle information modules per tonne-km, and Table 5 provides the same information in a disaggregated form, as required by the PCR. Table 4 and Table 6 provided the resource and energy categories in terms of the full service life as absolute figures in aggregated and disaggregated forms, respectively.

| Stage | Primary energy<br>(non-renewable) | Primary energy<br>(renewable) | Non-renewable<br>resources | Renewable<br>resources | Use of<br>secondary fuels | Use of secondary materials | Recovered<br>energy flows |
|-------|-----------------------------------|-------------------------------|----------------------------|------------------------|---------------------------|----------------------------|---------------------------|
| Units | MJ/tkm                            | MJ/tkm                        | kg/tkm                     | kg/tkm                 | MJ/tkm                    | kg/tkm                     | MJ/tkm                    |
| U1    | 1.04E+02                          | 5.92E+00                      | 2.88E+01                   | 5.90E+03               | 6.01E-09                  | 1.91E-01                   | 0.00E+00                  |
| U2    | 1.35E+01                          | 1.22E-01                      | 5.19E-02                   | 3.32E+01               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| U3    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| C1    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| C2    | 1.18E+01                          | 7.67E-01                      | 8.11E-01                   | 3.63E+02               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D1    | 8.96E+00                          | 1.22E+01                      | 9.49E-01                   | 2.30E+02               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D2    | 1.63E-01                          | 1.03E-02                      | 5.56E-02                   | 5.51E+00               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D3    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D4    | 1.82E+02                          | 1.94E+01                      | 1.94E+01                   | 9.00E+03               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D5    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| D6    | 9.11E-03                          | 1.03E-03                      | 6.08E-03                   | 5.22E-01               | 0.00E+00                  | 0.00E+00                   | 0.00E+00                  |
| Total | 3.20E+02                          | 3.84E+01                      | 5.00E+01                   | 1.55E+04               | 6.01E-09                  | 1.91E-01                   | 0.00E+00                  |

Table 3:Resource and energy categories for synergy (per tkm)

| Stage | Primary energy<br>(non-renewable) | Primary energy<br>(renewable) | Non-renewable<br>resources | Renewable<br>resources | Use of secondary<br>fuels | Use of secondary<br>materials | Recovered<br>energy flows |
|-------|-----------------------------------|-------------------------------|----------------------------|------------------------|---------------------------|-------------------------------|---------------------------|
| Units | MJ                                | MJ                            | kg                         | kg                     | MJ                        | kg                            | MJ                        |
| U1    | 3.61E+05                          | 2.06E+04                      | 1.00E+05                   | 2.06E+07               | 2.10E-05                  | 0.00E+00                      | 3.61E+05                  |
| U2    | 4.71E+04                          | 4.26E+02                      | 1.81E+02                   | 1.16E+05               | 0.00E+00                  | 0.00E+00                      | 4.71E+04                  |
| U3    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                      | 0.00E+00                  |
| C1    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                      | 0.00E+00                  |
| C2    | 4.10E+04                          | 2.67E+03                      | 2.83E+03                   | 1.27E+06               | 0.00E+00                  | 0.00E+00                      | 4.10E+04                  |
| D1    | 3.12E+04                          | 4.25E+04                      | 3.31E+03                   | 8.02E+05               | 0.00E+00                  | 0.00E+00                      | 3.12E+04                  |
| D2    | 5.68E+02                          | 3.60E+01                      | 1.94E+02                   | 1.92E+04               | 0.00E+00                  | 0.00E+00                      | 5.68E+02                  |
| D3    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                      | 0.00E+00                  |
| D4    | 6.36E+05                          | 6.78E+04                      | 6.77E+04                   | 3.14E+07               | 0.00E+00                  | 0.00E+00                      | 6.36E+05                  |
| D5    | 0.00E+00                          | 0.00E+00                      | 0.00E+00                   | 0.00E+00               | 0.00E+00                  | 0.00E+00                      | 0.00E+00                  |
| D6    | 3.18E+01                          | 3.61E+00                      | 2.12E+01                   | 1.82E+03               | 0.00E+00                  | 0.00E+00                      | 3.18E+01                  |
| Total | 1.12E+06                          | 1.34E+05                      | 1.75E+05                   | 5.42E+07               | 2.10E-05                  | 0.00E+00                      | 1.12E+06                  |

Table 4: Resource and energy categories for synergy (in absolute figures per RSL)



Solar power; a source of renewable energy.

|               | Resource<br>type | Resource              | Units  | Upstream | Core     | Downstream | Total    |
|---------------|------------------|-----------------------|--------|----------|----------|------------|----------|
|               |                  | Inert rock            | kg/tkm | 2.34E+01 | 7.45E-01 | 1.95E+01   | 4.37E+01 |
|               | Material         | Iron ore              | kg/tkm | 4.39E+00 | 0.00E+00 | 4.32E-02   | 4.43E+00 |
|               |                  | Other                 | kg/tkm | 1.01E+00 | 6.58E-02 | 8.22E-01   | 1.90E+00 |
| Non-renewable |                  | Crude oil             | kg/tkm | 4.54E-01 | 4.49E-02 | 2.42E-01   | 7.41E-01 |
|               |                  | Hard coal             | kg/tkm | 3.33E+00 | 1.16E-01 | 3.12E+00   | 6.56E+00 |
|               | Energy           | Lignite               | kg/tkm | 6.55E-02 | 1.86E-02 | 4.61E-01   | 5.45E-01 |
|               |                  | Natural gas           | kg/tkm | 2.23E-01 | 1.23E-01 | 1.24E+00   | 1.59E+00 |
|               |                  | Other                 | kg/tkm | 1.29E-03 | 1.26E-05 | 2.46E-04   | 1.54E-03 |
|               | Material         | Water                 | kg/tkm | 5.92E+03 | 3.60E+02 | 9.17E+03   | 1.54E+04 |
|               |                  | Other                 | kg/tkm | 8.00E+00 | 3.74E+00 | 6.80E+01   | 7.97E+01 |
|               | Energy           | Geothermal            | MJ/tkm | 1.55E-02 | 5.49E-02 | 1.46E+00   | 1.53E+00 |
| Renewable     |                  | Hydropower            | MJ/tkm | 3.43E+00 | 2.77E-01 | 7.29E+00   | 1.10E+01 |
|               |                  | Solar energy          | MJ/tkm | 2.36E+00 | 1.84E-01 | 1.63E+01   | 1.89E+01 |
|               |                  | Wind power            | MJ/tkm | 1.27E-01 | 2.51E-01 | 6.54E+00   | 6.91E+00 |
|               |                  | Other                 | MJ/tkm | 9.00E-05 | 6.97E-14 | 5.52E-08   | 9.01E-05 |
|               |                  | Steel scrap           | kg/tkm | 1.64E-01 | 0.00E+00 | 0.00E+00   | 1.64E-01 |
|               |                  | Stainless steel scrap | kg/tkm | 2.73E-02 | 0.00E+00 | 0.00E+00   | 2.73E-02 |
|               | Materials        | Copper scrap          | kg/tkm | 2.31E-06 | 0.00E+00 | 0.00E+00   | 2.31E-06 |
| Secondary     |                  | Iron scrap            | kg/tkm | 2.73E-05 | 0.00E+00 | 0.00E+00   | 2.73E-05 |
| resources     |                  | Aluminum scrap        | kg/tkm | 4.22E-05 | 0.00E+00 | 0.00E+00   | 4.22E-05 |
|               | Energy           | Non-renewable         | MJ/tkm | 5.66E-09 | 0.00E+00 | 0.00E+00   | 5.66E-09 |
|               |                  | Renewable             | MJ/tkm | 3.53E-10 | 0.00E+00 | 0.00E+00   | 3.53E-10 |
|               | Recovered        | energy flows          | MJ/tkm | 0.00E+00 | 0.00E+00 | 0.00E+00   | 0.00E+00 |

Table 5: Disaggregated resource and energy categories for synergy (per tkm)

|               | Resource  |                       |       |          |          | -          |          |
|---------------|-----------|-----------------------|-------|----------|----------|------------|----------|
|               | type      | Resource              | Units | Upstream | Core     | Downstream | Total    |
|               |           | Inert rock            | kg    | 8.17E+04 | 2.60E+03 | 6.81E+04   | 1.52E+05 |
|               | Material  | Iron ore              | kg    | 1.53E+04 | 0.00E+00 | 1.51E+02   | 1.55E+04 |
|               |           | Other                 | kg    | 3.52E+03 | 2.29E+02 | 2.87E+03   | 6.62E+03 |
| Non-renewable |           | Crude oil             | kg    | 1.58E+03 | 1.57E+02 | 8.43E+02   | 2.58E+03 |
|               |           | Hard coal             | kg    | 1.16E+04 | 4.05E+02 | 1.09E+04   | 2.29E+04 |
|               | Energy    | Lignite               | kg    | 2.29E+02 | 6.50E+01 | 1.61E+03   | 1.90E+03 |
|               |           | Natural gas           | kg    | 7.78E+02 | 4.29E+02 | 4.32E+03   | 5.53E+03 |
|               |           | Other                 | kg    | 4.48E+00 | 4.39E-02 | 8.59E-01   | 5.39E+00 |
|               | Material  | Water                 | kg    | 2.07E+07 | 1.25E+06 | 3.20E+07   | 5.39E+07 |
|               | Material  | Other                 | kg    | 2.79E+04 | 1.31E+04 | 2.37E+05   | 2.78E+05 |
|               |           | Geothermal            | MJ    | 5.42E+01 | 1.91E+02 | 5.09E+03   | 5.33E+03 |
| Renewable     |           | Hydropower            | MJ    | 1.20E+04 | 9.65E+02 | 2.54E+04   | 3.84E+04 |
|               | Energy    | Solar energy          | MJ    | 8.22E+03 | 6.43E+02 | 5.70E+04   | 6.58E+04 |
|               |           | Wind power            | MJ    | 4.43E+02 | 8.75E+02 | 2.28E+04   | 2.41E+04 |
|               |           | Other                 | MJ    | 3.14E-01 | 2.43E-10 | 1.93E-04   | 3.14E-01 |
|               |           | Steel scrap           | kg    | 5.71E+02 | 0.00E+00 | 0.00E+00   | 5.71E+02 |
|               |           | Stainless steel scrap | kg    | 9.52E+01 | 0.00E+00 | 0.00E+00   | 9.52E+01 |
|               | Materials | Copper scrap          | kg    | 8.07E-03 | 0.00E+00 | 0.00E+00   | 8.07E-03 |
| Secondary     |           | Iron scrap            | kg    | 9.52E-02 | 0.00E+00 | 0.00E+00   | 9.52E-02 |
| resources     |           | Aluminum scrap        | kg    | 1.47E-01 | 0.00E+00 | 0.00E+00   | 1.47E-01 |
|               | Energy    | Non-renewable         | MJ    | 1.55E-05 | 0.00E+00 | 1.55E-05   | 3.09E-05 |
|               | спегуу    | Renewable             | MJ    | 1.49E-06 | 0.00E+00 | 1.49E-06   | 2.98E-06 |
|               | Recovered | l energy flows        | MJ    | 0.00E+00 | 0.00E+00 | 0.00E+00   | 0.00E+00 |

Table 6: Disaggregated resource and energy categories for synergy (absolute figures per RSL)



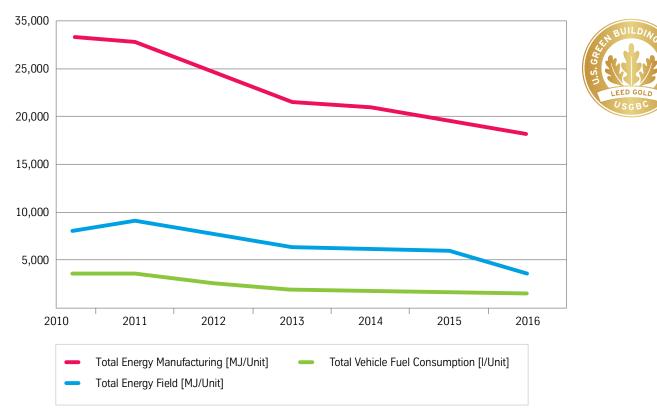
thyssenkrupp factory in Middleton, Tennessee

From a production standpoint, we continually strive to improve environmental performance within our core plants and factories. During the last six years, our energy demand at our Middleton, TN factory - which was built in 1969 - has stayed relatively constant, while production has increased 84 percent since 2010. We replaced 12 of the 50-ton AC units, resulting in \$50,000 per year in energy savings and completed roof recoating for over half of the factory roof, lowering indoor office temperature and preventing repair costs. We abide by the ISO 14000 Environmental Management System and gather feedback from our global team in an effort to continually improve corporate processes and policies. In 2016, we hosted an Occupant Comfort Survey that resulted in 86 percent employee satisfaction in the factory. Also, we have volunteered for the Better Plants program twice, a program that requires a 20 percent reduction in energy. We're happy to have achieved LEED GOLD certification, earning 63 points out of the 60 required.

Energy efficiency improvements, however, aren't limited to operations. A large portion of the energy used in our entire life cycle comes from downstream. In 2016 alone, we serviced 220,655 elevators across the United States to ensure every passenger can depend on our elevators to move them up and down buildings safely. Therefore, we use a fleet of around 3,200 vehicles to regularly monitor and provide service to our elevators, and we have to use our fuel intelligently to meet our global GHG impact reduction goals. To do so, we diversify fuel to save energy and reduce GHG emissions — and we're now using the XL3 Hybrid Drive System, which results in a 25 percent increase in fuel economy per vehicle. We believe that having a mixed portfolio that includes propane, electric and hybrid vehicles makes a significant difference over traditionally powered engines, alternative fuels (flexi fuel), hybrid electric and other low emitting and efficient technologies.

Given that our vehicle replacement program is the main driver in our fuel reduction efforts, we upgrade the oldest fleet vehicles to use state-of-the-art technology and right-size the vehicle to fit the specific location and function. Our fleet also includes low GHG-emitting and fuel-efficient engines. By 2020, we will have increased the average fuel economy of our fleet from 6.38 to 7.57 KPL, thereby lowering our fuel consumption by 2,649,788 liters and preventing 5,738 tonnes of CO2 from being released into the atmosphere.

Looking forward, we expect fuel efficiency efforts to only increase across our product line — given our push to enhance route optimization, driver training, and MAX, our predictive maintenance and service solution. By implementing MAX alone, we estimate saving about 3,785,000 liters of gas and preventing 8,196 tonnes of CO2 from being released into the atmosphere. The interplay between MAX and Microsoft's HoloLens technology will enable service technicians to visualize and diagnose a problem well before any action needs to be taken.



#### Energy consumption vs. production

### Potential environmental impacts

The life cycle impact assessment results for CML (Version: January 2016) in terms of the life cycle stages per tonne-km and in absolute figures are shown in Table 7 and Table 8, respectively. The same results in terms of the information modules and in terms of absolute figures by life cycle stage are shown in Table 9 and Table 10, respectively. Table 11 depicts the TRACI 2.1 life cycle impact results in terms of information modules divided by the functional unit.

|            | Abiotic depletion<br>(elements) | Abiotic deple-<br>tion (fossil) | Acidification                  | Eutrophication    | Ozone layer depletion | Photochemical ozone creation                 | Global<br>warming              |
|------------|---------------------------------|---------------------------------|--------------------------------|-------------------|-----------------------|--|--------------------------------|
| Units      | kg Sb-eq./tkm                   | MJ/tkm                          | kg SO <sub>2</sub> -eq/<br>tkm | kg PO₄³eq/<br>tkm | kg CFC-11-eq/<br>tkm  | kg C <sub>2</sub> H <sub>4</sub> -eq/<br>tkm | kg CO <sub>2</sub> -eq/<br>tkm |
| Upstream   | 1.64E+00                        | 1.13E+02                        | 4.94E-02                       | 4.01E-03          | 5.61E-08              | 5.74E-03                                     | 1.07E+01                       |
| Core       | 2.65E-07                        | 1.02E+01                        | 1.79E-03                       | 1.36E-04          | 1.73E-10              | 1.41E-04                                     | 7.31E-01                       |
| Downstream | 1.62E-02                        | 1.51E+02                        | 4.20E-02                       | 2.72E-03          | 5.10E-09              | 3.17E-03                                     | 1.32E+01                       |
| Total      | 1.66E+00                        | 2.74E+02                        | 9.32E-02                       | 6.86E-03          | 6.14E-08              | 9.06E-03                                     | 2.46E+01                       |

Table 7: CML (Version: January 2016) impact category results by life cycle stage for synergy (per tkm)

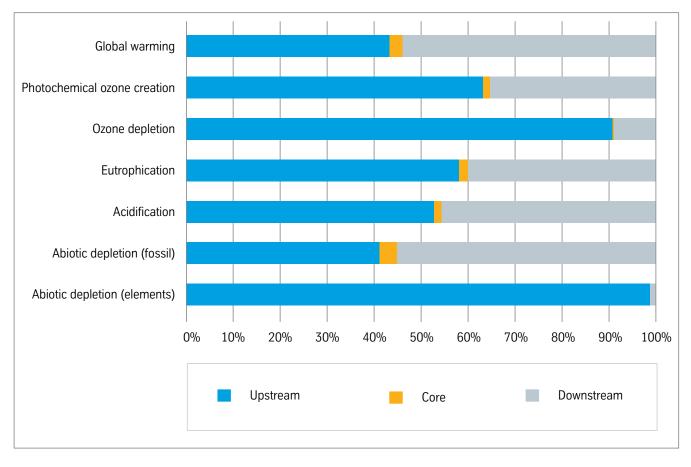


Figure: CML impact category results by main module for Synergy 300

|            | Abiotic depletion<br>(elements) | Abiotic deple-<br>tion (fossil) | Acidification          | Eutrophication | Ozone layer<br>depletion | Photochemical<br>ozone creation      | Global<br>warming      |
|------------|---------------------------------|---------------------------------|------------------------|----------------|--------------------------|--------------------------------------|------------------------|
| Units      | kg Sb-eq.                       | MJ                              | kg SO <sub>2</sub> -eq | kg PO₄³eq      | kg CFC-11-eq             | kg C <sub>2</sub> H <sub>4</sub> -eq | kg CO <sub>2</sub> -eq |
| Upstream   | 5.73E+03                        | 3.94E+05                        | 1.72E+02               | 1.40E+01       | 1.96E-04                 | 2.00E+01                             | 3.74E+04               |
| Core       | 9.25E-04                        | 3.56E+04                        | 6.23E+00               | 4.73E-01       | 6.05E-07                 | 4.92E-01                             | 2.55E+03               |
| Downstream | 5.65E+01                        | 5.25E+05                        | 1.47E+02               | 9.47E+00       | 1.78E-05                 | 1.11E+01                             | 4.60E+04               |
| Total      | 5.79E+03                        | 9.55E+05                        | 3.25E+02               | 2.39E+01       | 2.14E-04                 | 3.16E+01                             | 8.59E+04               |

Table 8: CML (Version: January 2016) impact category results by life cycle stage for synergy (in absolute figures per RSL)

| Stage | Abiotic depletion<br>(elements) | Abiotic depletion<br>(fossil) | Acidification                  | Eutrophication    | Ozone layer<br>depletion | Photochemical ozone creation                 | Global<br>warming              |
|-------|---------------------------------|-------------------------------|--------------------------------|-------------------|--------------------------|--|--------------------------------|
| Units | kg Sb-eq./tkm                   | MJ/tkm                        | kg SO <sub>2</sub> -eq/<br>tkm | kg PO₄³eq/<br>tkm | kg CFC-11-eq/<br>tkm     | kg C <sub>2</sub> H <sub>4</sub> -eq/<br>tkm | kg CO <sub>2</sub> -eq/<br>tkm |
| U1    | 1.64E+00                        | 9.96E+01                      | 3.08E-02                       | 1.84E-03          | 5.61E-08                 | 4.54E-03                                     | 9.69E+00                       |
| U2    | 1.40E-07                        | 1.34E+01                      | 1.86E-02                       | 2.16E-03          | 6.63E-12                 | 1.21E-03                                     | 1.03E+00                       |
| U3    | 0.00E+00                        | 0.00E+00                      | 0.00E+00                       | 0.00E+00          | 0.00E+00                 | 0.00E+00                                     | 0.00E+00                       |
| C1    | 0.00E+00                        | 0.00E+00                      | 0.00E+00                       | 0.00E+00          | 0.00E+00                 | 0.00E+00                                     | 0.00E+00                       |
| C2    | 2.65E-07                        | 1.02E+01                      | 1.79E-03                       | 1.36E-04          | 1.73E-10                 | 1.41E-04                                     | 7.31E-01                       |
| D1    | 1.62E-02                        | 8.35E+00                      | 2.45E-03                       | 4.17E-04          | 5.68E-10                 | 6.61E-04                                     | 6.62E-01                       |
| D2    | 4.02E-09                        | 1.59E-01                      | 5.29E-04                       | 1.22E-04          | 2.01E-13                 | 1.49E-04                                     | 1.92E-01                       |
| D3    | 0.00E+00                        | 0.00E+00                      | 0.00E+00                       | 0.00E+00          | 0.00E+00                 | 0.00E+00                                     | 0.00E+00                       |
| D4    | 2.29E-06                        | 1.42E+02                      | 3.90E-02                       | 2.18E-03          | 4.53E-09                 | 2.36E-03                                     | 1.23E+01                       |
| D5    | 0.00E+00                        | 0.00E+00                      | 0.00E+00                       | 0.00E+00          | 0.00E+00                 | 0.00E+00                                     | 0.00E+00                       |
| D6    | 2.33E-10                        | 8.79E-03                      | 4.06E-06                       | 5.52E-07          | 7.51E-15                 | 3.90E-07                                     | 6.75E-04                       |
| Total | 1.66E+00                        | 2.74E+02                      | 9.32E-02                       | 6.86E-03          | 6.14E-08                 | 9.06E-03                                     | 2.46E+01                       |

Table 9: CML (Version: January 2016) impact category results for synergy by life cycle information module (per tkm)

| Stage | Abiotic depletion<br>(elements) | Abiotic depletion<br>(fossil) | Acidification          | Eutrophication                       | Ozone layer<br>depletion | Photochemical ozone creation | Global<br>warming      |
|-------|---------------------------------|-------------------------------|------------------------|--------------------------------------|--------------------------|------------------------------|------------------------|
| Units | kg Sb-eq                        | MJ                            | kg SO <sub>2</sub> -eq | kg PO <sub>4</sub> <sup>3-</sup> -eq | kg CFC-11-eq             | kg ethene- eq                | kg CO <sub>2</sub> -eq |
| U1    | 2.65E+03                        | 2.57E+05                      | 1.02E+02               | 1.69E+01                             | 1.21E-04                 | 9.99E+00                     | 2.31E+04               |
| U2    | 2.21E-04                        | 2.12E+04                      | 2.94E+01               | 3.42E+00                             | 1.05E-08                 | 1.91E+00                     | 1.63E+03               |
| U3    | 0.00E+00                        | 0.00E+00                      | 0.00E+00               | 0.00E+00                             | 0.00E+00                 | 0.00E+00                     | 0.00E+00               |
| C1    | 0.00E+00                        | 0.00E+00                      | 0.00E+00               | 0.00E+00                             | 0.00E+00                 | 0.00E+00                     | 0.00E+00               |
| C2    | 1.11E-03                        | 3.24E+04                      | 6.93E+00               | 1.46E+00                             | 6.04E-07                 | 4.86E-01                     | 2.58E+03               |
| D1    | 4.47E-04                        | 1.39E+04                      | 4.16E+00               | 9.09E-01                             | 1.33E-07                 | 1.27E+00                     | 1.12E+03               |
| D2    | 1.35E-05                        | 5.34E+02                      | 1.63E+00               | 4.54E-01                             | 6.76E-10                 | 4.56E-01                     | 5.90E+02               |
| D3    | 0.00E+00                        | 0.00E+00                      | 0.00E+00               | 0.00E+00                             | 0.00E+00                 | 0.00E+00                     | 0.00E+00               |
| D4    | 3.29E-03                        | 2.04E+05                      | 5.62E+01               | 3.13E+00                             | 6.53E-06                 | 3.40E+00                     | 1.77E+04               |
| D5    | 0.00E+00                        | 0.00E+00                      | 0.00E+00               | 0.00E+00                             | 0.00E+00                 | 0.00E+00                     | 0.00E+00               |
| D6    | 6.14E-07                        | 2.32E+01                      | 1.07E-02               | 1.46E-03                             | 1.98E-11                 | 1.03E-03                     | 1.78E+00               |
| Total | 2.65E+03                        | 5.29E+05                      | 2.00E+02               | 2.63E+01                             | 1.28E-04                 | 1.75E+01                     | 4.68E+04               |

Table 10: CML (Version: January 2016) impact category results for synergy by life cycle information module (in absolute figures per RSL)

| Stage | Acidification              | Eutrophication | Ozone depletion air | Smog air                  | Global warming             |
|-------|----------------------------|----------------|---------------------|---------------------------|----------------------------|
| Units | kg SO <sub>2</sub> -eq/tkm | kg N-eq/tkm    | kg CFC-11-eq/tkm    | kg 0 <sub>3</sub> -eq/tkm | kg CO <sub>2</sub> -eq/tkm |
| U1    | 3.04E-02                   | 1.29E-03       | 9.63E+00            | 6.11E-08                  | 3.11E-01                   |
| U2    | 2.00E-02                   | 7.85E-04       | 1.03E+00            | 7.05E-12                  | 3.94E-01                   |
| U3    | 0.00E+00                   | 0.00E+00       | 0.00E+00            | 0.00E+00                  | 0.00E+00                   |
| C1    | 0.00E+00                   | 0.00E+00       | 0.00E+00            | 0.00E+00                  | 0.00E+00                   |
| C2    | 1.73E-03                   | 8.59E-05       | 7.27E-01            | 1.84E-10                  | 1.86E-02                   |
| D1    | 2.82E-03                   | 2.50E-04       | 6.59E-01            | 6.18E-10                  | 6.75E-02                   |
| D2    | 6.90E-04                   | 7.15E-05       | 1.70E-01            | 2.14E-13                  | 3.19E-03                   |
| D3    | 0.00E+00                   | 0.00E+00       | 0.00E+00            | 0.00E+00                  | 0.00E+00                   |
| D4    | 3.67E-02                   | 1.53E-03       | 1.23E+01            | 4.82E-09                  | 3.19E-01                   |
| D5    | 0.00E+00                   | 0.00E+00       | 0.00E+00            | 0.00E+00                  | 0.00E+00                   |
| D6    | 4.42E-06                   | 3.71E-07       | 6.72E-04            | 7.98E-15                  | 8.57E-05                   |
| Total | 9.24E-02                   | 4.01E-03       | 2.45E+01            | 6.68E-08                  | 1.11E+00                   |

Table 11: TRACI 2.1 impact category results by life cycle information module for synergy (per tkm)

#### Waste production

Recent highlights in waste production during manufacturing include updating our painting process to powder coating; we eliminated the painting line, reducing 83 percent of our hazardous waste since 2013. Table 12 and Table 13 depict the waste category results in terms of the life cycle stages divided by the functional unit and in absolute figures, respectively. Table 14 and Table 15 depict the waste category results for each life cycle module and in absolute figures for the service life, respectively.



Powder coating line at Middleton, TN plant

| Stage      | Hazardous waste<br>(deposited) | Waste<br>(deposited) |
|------------|--------------------------------|----------------------|
| Units      | kg/tkm                         | kg/tkm               |
| Upstream   | 4.49E-07                       | 1.69E-01             |
| Core       | 7.55E-09                       | 3.92E-02             |
| Downstream | 3.36E-06                       | 2.70E-01             |
| Total      | 3.82E-06                       | 4.78E-01             |

| Stage      | Hazardous waste<br>(deposited) | Waste<br>(deposited) |
|------------|--------------------------------|----------------------|
| Units      | kg                             | kg                   |
| Upstream   | 1.57E-03                       | 5.89E+02             |
| Core       | 2.63E-05                       | 1.37E+02             |
| Downstream | 1.17E-02                       | 9.42E+02             |
| Total      | 1.33E-02                       | 1.67E+03             |

Table 12: Hazardous and non-hazardous waste disposal by life cycle stage (per tkm)

Table 13: Hazardous and non-hazardous waste disposal by life cycle stage (in absolute figures per RSL)  $% \left( {{\left[ {{{\rm{B}}_{\rm{s}}} \right]}_{\rm{s}}} \right)$ 

| Stage | Hazardous waste<br>(deposited) | Waste<br>(deposited) |
|-------|--------------------------------|----------------------|
| Units | kg/tkm                         | kg/tkm               |
| U1    | 4.33E-07                       | 1.69E-01             |
| U2    | 1.65E-08                       | 2.95E-04             |
| U3    | 0.00E+00                       | 0.00E+00             |
| C1    | 0.00E+00                       | 0.00E+00             |
| C2    | 7.55E-09                       | 3.92E-02             |
| D1    | 3.25E-06                       | 1.07E-02             |
| D2    | 3.12E-10                       | 1.57E-01             |
| D3    | 0.00E+00                       | 0.00E+00             |
| D4    | 1.09E-07                       | 6.00E-02             |
| D5    | 0.00E+00                       | 0.00E+00             |
| D6    | 2.08E-10                       | 4.22E-02             |
| Total | 3.82E-06                       | 4.78E-01             |

Table 14: Hazardous and non-hazardous waste disposal for each life cycle information module (per tkm)  $% \left( \left( \frac{1}{2}\right) \right) =0$ 

| Stage | Hazardous waste<br>(deposited) | Waste<br>(deposited) |
|-------|--------------------------------|----------------------|
| Units | kg                             | kg                   |
| U1    | 1.51E-03                       | 5.88E+02             |
| U2    | 5.77E-05                       | 1.03E+00             |
| U3    | 0.00E+00                       | 0.00E+00             |
| C1    | 0.00E+00                       | 0.00E+00             |
| C2    | 2.63E-05                       | 1.37E+02             |
| D1    | 1.13E-02                       | 3.73E+01             |
| D2    | 1.09E-06                       | 5.49E+02             |
| D3    | 0.00E+00                       | 0.00E+00             |
| D4    | 3.80E-04                       | 2.09E+02             |
| D5    | 0.00E+00                       | 0.00E+00             |
| D6    | 7.26E-07                       | 1.47E+02             |
| Total | 1.33E-02                       | 1.67E+03             |

Table 15: Hazardous and non-hazardous waste disposal by life cycle information module (in absolute figures per RSL)  $\,$ 

#### Additional information

At thyssenkrupp Elevator, we're making continued efforts to reduce energy use and even reach net zero energy in our products. Our TAC32T controller offers increased reliability, safety and efficiency, using an absolute positioning system (APS) that increases energy efficiency. We believe making simple changes like installing LED lighting should be standard throughout our product line — and we have done just that. LEDs contain no mercury, have a 10-year lifespan, and reduce energy consumption without compromising look or visibility.

The energy mix used for the calculations of this EPD was U.S. average grid. If the elevator's operational use were provided by PV instead of the U.S. average grid, the impacts have been demonstrated to be significantly lower due to the large impacts contributed by the use phase.

thyssenkrupp Elevator's Create-a-cab Elevator Cab received a Bronze Cradle to Cradle Material Health Certificate, which qualifies for LEED v4 Material Ingredient Disclosure Credit Eligible and CA 01350 Low-Emitting Materials.

thyssenkrupp Elevator supports the U.S. Green Building Council and the LEED rating system through our corporate SILVER sponsorship. In addition, we are a Visionary Sponsor of "The Living Building Challenge" program from the International Living Institute; we are committed to meet the program's strict list of imperatives, producing two Declare labels as part of our efforts.

#### 250% 200% 150% 100% 50% 0% Acidification Eutrophication Global Ozone layer Smog air Primary energy Primary energy Primary energy depletion demand from warming, excl from nonfrom renewable bio-C ren. and nonrenewable ren. resources resources resources PV-supplied scenario US grid scenario

#### Elevator life cycle results

Figure: Elevator life cycle results (CML Jan 2016) with both PV and US grid-supplied electricity during use (PV scenario shown as 100%)

We're proud that we remain the leader in material transparency with Cradle to Cradle Material Health Certificates, Health Product Declarations and Declare labels.

## LEED v4 Credit Matrix



| Credit   | Intent  | How thyssenkrupp can help   |
|--|---|---|
| Optimize Energy Performance  | Use whole building energy simulation to achieve increasing levels of energy performance.  | thyssenkrupp Elevator's energy<br>calculator based on ISO 25745 can help<br>you determine proposed energy savings<br>over a baseline that can be added to your<br>project's energy model.   |
| Building Product<br>Disclosure and<br>Optimization:<br>Environmental<br>Product Declarations | Encourage the use of products<br>and materials with publicly available,<br>critically reviewed life-cycle<br>assessments conforming to ISO 14044<br>that have at least cradle-to-gate scope.                | thyssenkrupp Elevator published the first<br>Lift Environmental Product Declaration<br>(EPD) that follows the Product Category<br>Rules of the International EPD® System for<br>endura MRL.   |
| Building Product<br>Disclosure and<br>Optimization:<br>Sourcing of Raw Materials             | Encourage the use of products<br>and materials for which life-cycle<br>information is available and reward<br>teams for selecting products sourced in<br>a responsible manner.                              | thyssenkrupp AG provides continuous and<br>fully integrated reporting on its sustain-<br>ability performance in our annual report,<br>applying the international standards of the<br>Global Reporting Initiative (GRI) and the<br>UN Global Compact. Please visit:<br>https://www.thyssenkrupp.com/en/compa-<br>ny/sustainability/integrated-reporting/ |
| Building Product<br>Disclosure and<br>Optimization:<br>Material Ingredients                  | Encourage the use of products<br>and materials for which life-cycle<br>information is available and reward<br>teams for selecting products from<br>companies that have inventoried<br>chemical ingredients. | thyssenkrupp Elevator has two Health<br>Product Declarations, two Declare labels,<br>and Platinum and Bronze Cradle to Cradle<br>Material Health Certificates. These<br>certifications fulfill both the Disclosure and<br>Optimization Material Ingredient points.  |

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#### **Results evaluation**

The results are evaluated using the CML January 2016 impact methodology (Leiden, 2016), as required by the PCR, and the TRACI 2.1 (EPA, 2012) impact methodology, as this report supports a North American declaration. Since the smog model in TRACI 2.1 differs from the one used by CML, smog formation potential (SFP) is calculated in place of photochemical ozone creation potential (POCP). It shall be noted that these impact categories represent impact potentials; that is, they are approximations of environmental impacts that could occur if the emitted molecules would (a) actually follow the underlying impact pathway, and (b) meet certain conditions in the receiving environment while doing so. In addition, the inventory only captures that fraction of the total environmental load that corresponds to the chosen declared unit (relative approach). LCIA results are therefore relative expressions only and do not predict actual impacts, the exceeding of thresholds, safety margins, or risks.

Results presented in this document do not constitute comparative assertions that one scenario or system has better environmental performance than another. However, these results will be disclosed to the public in an EPD, which architects and builders will be able to use to compare thyssenkrupp Elevator's products with similar products presented in other EPDs that follow the same PCR and are evaluated with regard to the same functional unit.

Report verification was conducted by Dr. Thomas P. Gloria of Industrial Ecology Consultants. This verification was performed in accordance to ISO 14040/44, the selected PCR, Lifts (Elevators) Product Category Rules according to ISO 14025, and the General Program Instructions of the International EPD System. EPDs within the same product category, but from different program operators may not be comparable.

#### References

EPD International (2015),"General Programme Instructions of the international EPD® System – Version 2.5"

ISO 14025 (2006), "Environmental labels and declarations - Type III environmental declarations - Principles and procedures"

ISO 14040 (2006), "Environmental management – Life cycle assessment – Principles and framework"

ISO 14044 (2006), "Environmental management – Life cycle assessment – Requirements and guidelines"

ISO 25745-2 (2015), "Energy performance of lifts, escalators and moving walks – Part 2: Energy calculation and classification for (elevators)"

PCR 2015:05 (2015), "Product Category Rules for Lifts (Elevators) – UN CPC 4354", environdec

**Elevator Technology** 

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thyssenkrupp Elevator reserves the right to change specifications or design and to discontinue items without prior notice or obligation.

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